

# TRANSITION REQUEST FORM - PROJECT

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VERSION **1.0**

RELATED SUPPORT

- **TEMPLATE GUIDE Key Project Information & Project Design Document v.1.2**

CONTACT DETAILS:

## THE GOLD STANDARD FOUNDATION

Chemin de Balexert 7-9  
1219 Châtelaine  
International Environment House 2  
Geneva, Switzerland  
Tel: +41 22 788 70 80  
Email: [help@goldstandard.org](mailto:help@goldstandard.org)

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### Summary:

The projects transitioning from **CDM or other Standards to Gold Standard for Global Goals (GS4GG)** shall submit the transition request form and PDD (this document). The **Transition Request Form** is also to be completed for projects that are already registered with GS4GG for CER labelling and seek to move to GSVER stream to issue Gold Standard VERs.

This document contains the following Sections

### Section - Transition Request Form

[TRF.1 ELIGIBILITY CHECK FOR TRANSITION](#)

[TRF.2 TRANSITION PROJECT INFORMATION](#)

[TRF.3 TRANSITION CHECKLIST](#)

### Key Project Information

#### Section - Project design document

SECTION A – Description of project

SECTION B - Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

SECTION C – Duration and crediting period

SECTION D – Summary of Safeguarding Principles and Gender Sensitive Assessment

## SECTION E – Outcome of Stakeholder Consultations

Appendix 1 – Safeguarding Principles Assessment (mandatory)

Appendix 2 - Contact information of Project participants (mandatory)

Appendix 3 - LUF Additional Information (project specific)

Appendix 3 - Summary of Approved Design Changes (project specific)

The following table summarises how different sections of this document shall be filled to facilitate request for transition from other standard to GS4GG.

Section	Required for	How to complete the section
<b>Transition Request Form</b>		
TRF.1 Eligibility check for transition	All projects	Answer the assessment questions and provide supporting information as needed
TRF.2 Transition project information	All projects	Provide project information pertaining to the standard the project is transitioning from (e.g., CDM)
TFR.3 Transition checklist	All projects	Answer the assessment questions and provide supporting in the section in the PDD section as needed
<b>Project Design Document</b>		
Key project information	All projects	Include project details pertaining to GS4GG
Section A to E	All projects	Provide information as needed. Any section/subsections <ul style="list-style-type: none"><li>- that requires information/justification or additional information as per transition checklist, AND</li><li>- that involves update/revision to the information provided for registration with other standards. In such cases, the project shall copy and paste the information from registered PDD (other standard) and mark the additional information in track changes.</li></ul>

## SECTION – TRANSITION REQUEST FORM

### TRF.1 ELIGIBILITY CHECK FOR TRANSITION

To be completed for all projects seeking transition to GS4GG from other standards.

Requirement	Issue GSVERs
The project must have a crediting period start date with CDM/other standard on or <b>after 01 January 2016</b>	Is the project crediting period start date <b>after</b> 01 January 2016? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (go to questions below)
The project that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate the risk of discontinuation without carbon revenue.	NA
The project that has a crediting period start date with CDM/other standard <b>before 01 January 2016</b> shall demonstrate how the project has been operational in the absence of carbon revenue, if carbon credits have not been issued to the project in recent years.	NA
List of supporting documents	Cover letter Terms and condition

### TRF.2 TRANSITION PROJECT INFORMATION

Project developer shall provide project information (in grey rows), pertaining to the standard, the project is transitioning from (e.g., CDM) in the table below.

Name of the original standard	<input checked="" type="checkbox"/> <b>CDM</b> <input type="checkbox"/> <b>Other</b> (Add the standard name here)
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Project status with original standard	<p>The current status of project with CDM/other standard at the time of submission of this form.</p> <p><input checked="" type="checkbox"/> <b>Active</b> (registration status is valid)  <input type="checkbox"/> <b>Withdrawn</b> (deregistered)  <input type="checkbox"/> <b>Provisional</b> (awaiting guidance from the CMP at CMP 16, CDM PoA only)</p>	
CDM/ other standard reference ID	<p>Reference number/ID allocated to the project by CDM/other standard.</p> <p>10392</p>	
Project reference weblink	<p>The weblink of the project page of CDM/other standard.</p> <p><a href="https://cdm.unfccc.int/Projects/DB/Applus1501572247.73/view">https://cdm.unfccc.int/Projects/DB/Applus1501572247.73/view</a></p>	
Title of Project	<p>The title of the project used for registration with CDM/other standard.</p> <p>20 MW Solar Project in Sanwreej, Jodhpur, Rajasthan</p>	
New title of Project (if applicable)	<p>The title of the project if it has been changed for registering with Gold Standard.</p> <p>20 MW Solar Project in Sanwreej, Jodhpur, Rajasthan</p>	
Activity Scale	<p>Project scale registered with CDM/other standard.</p> <p>Large Scale</p>	
Methodology used	<p>Methodology title and the version number applied for registration with CDM /other standard.</p> <p>ACM0002 "Grid-connected electricity generation from renewable sources" Version 17.0</p>	
Amount of reductions	<p>Average annual emission reductions (tCO<sub>2</sub>e/year).</p> <p>34,882 tCO<sub>2</sub>e/year</p>	
Registration date	<p>The project registration date with CDM/other standard.</p> <p>12/08/2017</p>	
Type of crediting period	<p><input checked="" type="checkbox"/> renewable crediting period  <input type="checkbox"/> fixed crediting period</p>	
Crediting period	<p>The project registered crediting period start date and end date with CDM/other standard.</p> <p>Start date: 30/03/2017  End date: 29/03/2024</p>	
Total Monitoring Periods issued	<p>The total period that has already been issued by CDM/other standard.</p> <p>Start date: 30/03/2017  End date: 29/02/2020</p>	
Latest monitoring period	<p>The latest monitoring period that has already been issued or submitted for issuance to CDM/other standard.</p> <p>Start date: 01/08/2018  End date: 29/02/2020</p>	
	Issuance Status	<p><input checked="" type="checkbox"/> Issued  <input type="checkbox"/> Awaiting issuance</p>
	Date of Issuance, if issued.	<p>12/08/2021</p>
Declaration	<p>Click on the tick box to confirm.</p>	

The Project Developer/Representative hereby acknowledges that project developer;

Option 1 - has included information in this document that has not been validated/verified as part of CDM PDD **OR**

Option 2 - has copied all validated information as it appears in the original and then used tracked changes to highlight any information that not been validated/or has changed - *Note if option 2 is selected the project developer shall fill all sections in the PDD template of this document.*

The Project Developer/Representative hereby acknowledges that project developer;

is aware that for a given vintage, a registered Gold Standard project can request the issuance of the emission reductions under only one standard/certification scheme. (applicable to all projects).

is aware that all projects that transition to GS4GG shall demonstrate Ongoing Financial Need at the time of renewal of their crediting period following applicable GS4GG requirements. (applicable to all projects).

confirms that the project developer/representative will make a declaration, in writing, in the monitoring report submitted to Gold Standard that (applicable to CDM PoA/CPAs)

- project will/has not issue both a CER/other compliance units under Paris Agreement and a GSVER for the same vintage.
- project developer agrees to comply with all future UNFCCC COP/CMP decisions<sup>1</sup> including adjustment of GWP values

Project Developer/  
authorised signatory

*Name and designation of the project developer/authorised signatory*

Mr. Rohan Jhawar

Authorised Signatory

Janardan Wind Energy Pvt. Ltd. (JWEPL)



<sup>1</sup> CDM clarification available on this topic as on date can be referred to [here](#).

## TRF.3 TRANSITION CHECKLIST

Project developer shall answer all assessment questions listed below and provide additional information/justification in the PDD section, where required. Please note that the checklist is based on the [GHG Emissions Reductions and Sequestration Product Requirements](#).

The checklist also provides relevant requirements applicable to project transitioning to GS4GG for easy referencing. The PD shall refer to relevant GS4GG documents, as applicable, for further details. It is recommended that PD refers to Guidelines in the table below for more information on the requirements and flexibilities provided. This document (in word) shall be submitted to SustainCERT along with other required documents **for preliminary review** as listed below –

- [Cover Letter](#)
- [Terms and Conditions](#)
- [Official Development Assistance declaration](#)
- [Stakeholder Consultation Report](#)
- Project Design Document (PDD) final version (CDM/other standard)
- Validation report submitted to CDM/other standard
- Last Monitoring and Verification report submitted to CDM/other standard

<b>1  Transition pathway</b>	
<b>1.1   Option 1:</b> Is project seeking registration with GS4GG <b>to issue GSCERs</b> while maintaining the CDM registration? (Ref: <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>1.2   Option 2:</b> Is project seeking registration with GS4GG <b>to issue GSVERs</b> only and/or conversion of <b>issued CERs to GSVERs</b> ? (Ref: Annex B, <a href="#">GHG Product Requirements</a> ) <i>Note – for conversion of issued CERs to GSVERs, the project must be registered with GS4GG.</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>1.3   Option 3:</b> Is project seeking registration with GS4GG <b>to issue GSVERs only</b> and/or <b>conversion of emission reduction to GSVERs</b> issued by <b>standard other than CDM</b> ? (Ref: Annex B, <a href="#">GHG Product Requirements</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Requirement:</b></p> <p>All projects submitting request for transition on or after 1/1/2021 must demonstrate compliance with requirements stated in <b>Annex B</b>, <a href="#">GHG Product Requirements</a>.</p> <p>The project following <b>option 1</b> above;</p> <ul style="list-style-type: none"> <li>- may seek registration under GS4GG based on provisional CDM EB decision</li> <li>- may seek issuance of GSVERs in exchange of provisional CERs based on CDM EB decision but must transfer issued CERs to the Gold Standard Swiss CDM Registry Account. If there are any implications for issued volume or project eligibility due to CMP decision regarding GWP, additionality or any other decision, the PD must address these issues, as applicable in consultation with SustainCERT/GS.</li> <li>- A/R projects are not eligible for option 1.</li> </ul> <p>The project transitioning to GS4GG following <b>option 2</b> above,</p> <ul style="list-style-type: none"> <li>- may convert issued CERs to GSVERs</li> </ul>	

- are not required to deregister from CDM but shall not claim emission reductions under both GS4GG and CDM for the same vintage
- Eligible LUF project is required to deregister from CDM to issue GSVERs.

The project transitioning to GS4GG following **option 3** above,

- may convert issued emission reductions unit to GSVERs
- may issue GSVERs
- shall deregister project from other standard before registration with GS4GG

**Guidelines:**

Project already undergoing design certification for CER labelling can continue with their existing process. [SustainCERT](#) shall be notified of the intention to switch to GSVER stream, at the earliest possible opportunity.

Project already certified for CER labelling can switch to GSVER stream by completing this form and notifying [SustainCERT](#). Such project may leave the PDD section blank as this information has been captured in GS4GG PDD version submitted earlier.

## 2| Transition approval procedure

<b>2.1  </b>	Option 1 - Is the project undergoing a preliminary review <b>by sustainCERT</b> , validation <b>by VVB</b> and design review by <b>SustainCERT</b> ?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>2.2  </b>	Option 2 - Is the project undergoing a <b>combined preliminary review, validation, and design review</b> by <b>SustainCERT</b> ? (restrictions apply, see 5.3 below)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>2.3  </b>	Option 3- Is the project undergoing preliminary review <b>by SustainCERT</b> , combined <b>validation &amp; verification by VVB</b> , followed by combined design and performance review by SustainCERT?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**Requirement:**

The project certification under GS4GG involves following key steps. Refer to Section 5. Project cycle Principles and Requirements for details.

**Preliminary review** - Preliminary Review is conducted once at the time of first submission to Gold Standard. It involves desk review of the Key Project Information and Draft Project Design Document by SustainCERT.

**Design certification (validation + design review)** - Design certification involves validation by VVB and design review by SustainCERT. With successful design certification the Project will obtain 'Certified design' status that is equivalent to registration under CDM and other standard.

**Performance certification (verification + performance review)** - Performance certification involves verification by VVB and performance review by SustainCERT. The positive conclusion of the Performance Review period shall result in Gold Standard 'Certified Project status' and Project can issue GSVERs. The project may opt for combined Design Certification, conducting both the first Verification and Performance Review under GS4GG at the same time.

To minimise disruption and keep the transition review time and costs minimum, the projects are provided with flexibilities as summarised in the table below;

Certification outcome	Certification stage	Option 1	Option 2*	Option 3
		Normal certification pathway	Combined Preliminary review	Combined validation + verification followed

			+ Validation + Design review	by combined design + performance review
Listing	Preliminary review	SustainCERT		SustainCERT
Certified Design = Registration	Validation	VVB	SustainCERT	VVB
	Design review	SustainCERT		SustainCERT
Certified project = Issuance	Verification	VVB	VVB	VVB
	Performance review	SustainCERT	SustainCERT	SustainCERT

Comments				
	Project shall go through preliminary review by SustainCERT (4 weeks)	Project may combine preliminary review with validation and design review. All these steps can be combined and completed by SustainCERT.	Project shall go through preliminary review by SustainCERT (4 weeks)	Project Developers may combine Design Certification with first Verification and Performance Review.
	Design review (minimum 4 weeks) is conducted after Validation by VVB and is concluded when all CARs/CLs are successfully closed.	VVB shall complete the verification.	VVB may combine site visits and VVB submits positive Validation and Verification Reports at the same time.	
	Performance review (minimum 3 weeks) is conducted after Verification by VVB and is concluded when all CARs/CLs are successfully closed.	Performance review (minimum 3 weeks) is conducted after verification by VVB and is concluded when all CARs/CLs are successfully closed		Concurrent Design Review and Performance Review (minimum 6 weeks) is conducted after submission of validation and verification reports by VVB and is concluded when all CARs/CLs are successfully closed.

For option 1, a validation site visit by VVB is not required as long as the VVB conducted a site visit as part of validation/verification in last three years (from time of first submission for preliminary review) and new/updated information can be audited based on desk review and/or using remote audit approaches.

For Option 2, SustainCERT conducts project design elements desk based audit and approve project transition, without VVB's opinion. **Note that this option will involve additional review fee levied by SustainCERT. The project developer shall confirm the applicable fee and timelines with SustainCERT ([help@sustain-cert.com](mailto:help@sustain-cert.com)) before submitting the request for transition.**

If transition project is applying a new/latest version of the methodology which requires full audit but VVB, option 2 cannot be applied.

### 3| Project Eligibility

**3.1 | Is the project eligible project type under Gold Standard for the Global Goals?**

Yes  
 No

**Requirement:** The transitioning project shall be one of the eligible project types for issuance of Gold Standard VERs (Ref: [GHG Product Requirements](#)).

**Guidelines:** Typical eligible project types are Renewable Energy Supply, End-Use Energy Efficiency Improvement, Waste Handling & Disposal, Land Use and Forests.

- Afforestation/Reforestation project registered with CDM/other standard may transition to GS4GG for issuance of GSVERs only but are not eligible for labelling of issued emission reduction units.
- RE projects shall refer to [Renewable Energy Activity Requirements](#) for eligibility check.
- RE projects for example - · Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of [Renewable Energy Activity Requirements](#) for further details.
- Community Services Activities projects for example - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal · Relighting · End-use fossil switching are required to demonstrate compliance with the specific eligibility requirements. Refer to Annex – A of [Community Services Activity Requirements](#) for further details.

#### 4| Compliance with relevant Activity Requirements

<b>4.1</b>	Does the project conform to the relevant Activity Requirements ( <a href="#">CSA/RE</a> / <a href="#">LUF</a> )?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>4.2</b>	Does any specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements apply to the project? (see section 2.0 for <a href="#">LUF</a> )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>4.3</b>	Does specific eligibility criteria/requirement stipulated in Annex A of <a href="#">CSA/RE</a> requirements that apply to the project, lead to any change in the registered PDD? <b>If Yes, please provide a full explanation in section A.1.1. below.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**Requirement:**

(Ref: Section 4.1.1 of [GHG Product Requirements](#))

Projects shall conform to the relevant Activity Requirements and Gold Standard Approved Methodologies, including [eligible CDM Methodologies](#).

**RE rule update / RE PoA rule update:**

Grid connected Renewable Energy projects seeking to transition from another carbon crediting scheme to GS4GG or labelling of emission reductions under GS4GG are exempted from eligibility requirements listed in para 2.1.3 of the RE Activity Requirements. This exemption is only allowed to projects that started the first crediting period with the original carbon crediting scheme from 01/01/2016 or later but before 24/01/2020. (Ref: Section 2.1.1 and 2.1.2 of [RU 2020 AR –RE V1.2](#))

Specific [Renewable Energy Activity requirements](#) (refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal.

Specific [Community Service Activity requirements](#) (refer to Annex A): Hydropower, biomass resources, landfill gas and biogas from agro-processing, wastewater and other residues, Waste Heat/Gas recovery, Fossil co-generation, Waste incineration and gasification, Waste handling and disposal, Relighting, End-use fossil switching.

#### 5| Applicability of the methodology/tool version

<b>5.1</b>	Does the project apply an eligible GS methodology? Refer to list of the	<input checked="" type="checkbox"/> Yes
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eligible methodologies <a href="#">here</a> .	<input type="checkbox"/> No
<b>5.2  </b> Does the project apply the version of the methodology and applicable tools <b>applied for CDM/other standard registration or renewal</b> ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>5.3  </b> Does the project apply the latest version of the methodology and applicable tools <b>available at the time of first submission of this form</b> ? <b>If Yes, please provide a full explanation in section B below. And note that the project cannot opt for option 2 mentioned transition approval procedure.</b>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<p><b>Requirement: (Ref: Annex B of <a href="#">GHG Product Requirements</a>)</b></p> <p>Transition project shall</p> <p>a. conform to the relevant <a href="#">Activity Requirements</a> and Gold Standard Approved <a href="#">Methodologies</a>, including eligible <a href="#">CDM Methodologies</a>.</p> <p>b. also meet the additional GS4GG methodology eligibility requirements, where applicable. Refer to <a href="#">CDM Methodologies</a> for Gold Standard Eligibility Requirements.</p> <p>Transition project shall apply the version of GS approved CDM methodology or methodology tool for transition to GS4GG as follows;</p> <p>a. version applied at the time of registration/renewal of crediting period with other standard, OR</p> <p>b. version available at the time of first submission (preliminary review) this form.</p>	
<h2>6  Demonstration of additionality</h2>	
<b>6.1  </b> Are you aware that the transitioning project will be required to demonstrate Ongoing Financial Need as per the relevant GS rules and requirements available at the time of renewal of crediting period? (Refer to para 4.1.51 – 4.1.53 of <a href="#">Principles &amp; Requirements</a> .)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Requirement:</b></p> <ul style="list-style-type: none"> <li>- The CDM and JI project are not required to carry out additional assessment for demonstration of additionality over and above what has been done for registration/determination with the CDM unless the project falls into a category that is deemed non-additional in an applicable Gold Standard Activity Requirement. In such cases the relevant Activity Requirement shall take precedence. <b>Ref: Annex B <a href="#">GHG Product Requirements</a>.</b></li> <li>- Transition projects registered with standards other than CDM are required to undergo additionality revalidation to re-establish the validity of the underlying assumptions applied in the demonstration of additionality at the time of registration with the other standard.</li> <li>- The projects seeking combined transition and renewal of crediting period with GS4GG are not required to demonstrate OFN at the time of transition but must demonstrate OFN at the time of Crediting Period renewal after transitioning to GS4GG.</li> </ul>	
<h2>7  Sustainable Development Assessment</h2>	
<b>7.1  </b> Does the project positively contribute towards <b>minimum three Sustainable Development Goals (SDGs)</b> - SDG13 (mandatory) + two other SDGs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>7.2  </b> Have you identified the monitoring parameters linked with selected SDGs and corresponding SDG targets? For example – the monitoring parameter <u>Amount of GHGs emissions avoided or sequestered</u> is linked with SDG 13. Climate action, SDG target 13.2 Integrate climate change measures into national policies, strategies and planning.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<p><b>Fill section <a href="#">B.6. Sustainable Development Goals (SDG) outcomes</a> and <a href="#">B.7 Monitoring plan</a>, below for SDGs monitoring parameters not covered in registered PDD with</b></p>	

## other standards.

### Fill Table 1 – Estimated Sustainable Development Contributions below.

#### Requirement:

The transitioning project shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Ref: Section 4.(c) of [Principles and Requirements](#))

Refer to Annex B, [GHG Product Requirements](#) for further guidelines for transition projects.

#### Guidelines:

Selected SDG impacts must not result from a one-off from design/construction/distribution/ start-up or decommissioning of the project.

You may refer to /use the [SDG impact Tool](#) (under consultation currently) to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design monitoring plan for identified indicators.

## 8| Start date and duration of the crediting period

<b>8.1  </b> Has the crediting period of the transitioning project registered with other carbon standard/certification scheme changed and/or extended?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>8.2  </b> Is the total duration of the crediting period (i.e. including period that had been issued under the host standard) less than/equal to the maximum crediting period allowed under relevant GS4GG activity requirements?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

### Complete the section C.2.2 Total length of crediting period below.

#### Requirement:

- The crediting period of the transitioning project/PoA/CPA registered with other standards or certification schemes cannot be changed/extended.
- Maximum crediting period allowed under GS4GG are as CSA – 15 Yrs, RE – 15 Yrs, LUF 30 – 50 Yrs, AGR – 10 Yrs, if not defined in activity requirement or applicable methodology – 10 Yrs.
- The start date of the GS crediting period shall be same as the start date of the CDM crediting period. (Annex B, [GHG Product Requirements](#))
- For a transitioning project, PoA/CPA, the total duration of the crediting period, including the period that has been claimed under the host standard, shall not exceed the maximum crediting period allowed under relevant GS4GG activity requirements.  
If a given project transitioning to GS4GG, was registered under Standard X with -
  - fixed crediting period (10 years): The total crediting period (Standard X + GS4GG) must remain 10 years. The project can only claim remaining years of its 10-year crediting period after transitioning to GS4GG.
  - renewable crediting period (7\*3 year): The total crediting period (Standard X + GS4GG) must be equal to that allowed under relevant GS4GG activity requirements. The project can only claim remaining years of the maximum allowed crediting period after transitioning to GS4GG. For example; the maximum crediting period allowed for renewable energy project is 15 years. A renewable energy project that has already claimed 5 years under Standard X can only claim remaining 10 years of the total 15 years of its allowed crediting period after transitioning to GS4GG
- For a transitioning project, the start date of the Gold Standard Crediting Period starts with crediting period start date with other standard or maximum two years before the date of first submission (submission for preliminary review), whichever occurs later. (Ref: Annex B, [GHG](#)

[Product Requirements](#))

## 9| Safeguarding Principles Assessment

<b>9.1</b>   Does the project conform to the Gold Standard Safeguarding Principles and Requirements?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>9.2</b>   Is there any risk and/or likely adverse outcomes of the project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>9.3</b>   If answer is yes for Q 9.2  above, can the project achieve requirements with regards to the relevant principle through design, management or risk mitigation?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>9.4</b>   If answer is yes for Q 9.3  above, have the Mitigation Measures added to the Monitoring Plan (if required)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

### **Complete the Annex 1 and section D. Summary of Safeguarding Principles below.**

**Requirement:** The transitioning project shall conform to the [Gold Standard Safeguarding Principles and Requirements](#). (Ref: Section 4.1.19 of [GS4GG Principles and Requirements](#))

**Guidelines:** The detailed Safeguarding Principles and Requirements checklist is available in Annex 1 of this document.

## 10| Stakeholder Consultation Requirements

<b>10.1</b>   Has the project conducted a Stakeholder Consultation in accordance with the requirements of <a href="#">Gold Standard Stakeholder Consultation &amp; Engagement Requirements</a> ?  The answer to Q 10.1 is "No", if any of the questions below is answered as "No". The project should take the question(s) into account and address the gap when conducting supplementary stakeholder consultation to comply with GS4GG requirements.  Please answer the below question with regards to the stakeholder consultation conducted to comply with CDM/other standard requirements?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.2</b>   Did you conduct the stakeholder consultation before the project start date?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.3</b>   Did you discuss identified direct positive and negative impacts of the projects with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.4</b>   Does the invited stakeholder covers all stakeholder groups (a to g) listed in paragraph 3.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.5</b>   Did the invitation methods solicit input from women and marginalised groups?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.6</b>   Were the stakeholders invited at least 30 days before the stakeholder meeting?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.7</b>   Did a local language version of the non-technical summary with information required as per paragraph 5.1.1. of <a href="#">STAKEHOLDER CONSULTATION AND ENGAGEMENT REQUIREMENTS</a> , shared with stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

<b>10.8</b>   Was a physical meeting conducted?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.9</b>   Was a gender lens applied to assessing comments? (for example, if only men provided comments on household device project, was this taken into consideration when assessing the relevance of the comment?)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.10</b>   Were any serious, reasonable and proportional concerns raised and taken into account and satisfactorily addressed?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.11</b>   Were any points that warrant 'Mitigation measures' marked as such and monitoring plan has been designed and included in the PDD?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>10.12</b>   Is the mandatory Continuous Input / Grievance Expression Process Book's location clearly stated (and therefore usable)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>10.13</b>   Does PDD include a summary report of the comments received from local stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**Complete the section E. Summary of Local Stakeholder Consultation below.**

**Requirement:** Ref: Section 4.1.25 of [GS Principles and Requirements](#).

**Guidelines:** Project that conducted a stakeholder consultation meeting to comply with CDM/other standard requirements, should conduct, at minimum,

- one round of consultation for identified gaps i.e., gaps due to differences in stakeholder consultation requirements of GS4GG and CDM/other standard. For instance, if original consultations only involve one physical meeting, CME/PD should conduct a stakeholder feedback round covering all the identified gaps. The additional stakeholder consultations may involve a physical meeting or stakeholder feedback round, as necessary.

*If COVID interim measures are applicable (currently till 30/06/2021), the physical meeting and stakeholder feedback round may be postponed, and a draft SCR shall be mandatorily submitted to cover the consultation activities carried out till date.*