

# CarbonFix Transition Report

This document records the Corrective Action Requests (CARs) demanded during the assessment of the requirements from the "CarbonFix Transition Guidelines". The assessment was executed by the Gold Standard secretariat and its recommendation is base for the Technical Advisory Board (TAC) to approve / not approve a project as Gold Standard certified.

<b>Project Name</b>	<b>BaumInvest Reforestation Project</b>
<b>Project ID</b>	CR-BRP
<b>Country</b>	Costa Rica
<b>Project size</b>	485 ha
<b>Date of certification</b>	03 Apr 2013
<b>Auditor</b>	TÜV Süd
<b>Project owner</b>	<b>BaumInvest GmbH &amp; Co KG</b> Goethestraße 20 79100 Freiburg, Germany  <b>represented by</b> Michael Metz Phone: +49 761-150 636-11 michael.metz@bauminvest.de
<b>CO2-certificates to be transferred</b>	<b>103 113</b>
<b>Validated</b>	<b>0</b>
<b>Verified</b>	30% of these CO2-certificates will be transferred to the Gold Standard Compliance Buffer until the project executed a third-party certification against the 'A/R Requirements' of the Gold Standard. Only then the percentage will be lowered to 20%.
<b>Additional certifications</b>	
<b>Type</b>	<b>FSC</b>
<b>Date of certification</b>	13 Aug 2012
<b>Auditor</b>	GFA Consulting Group
<b>History of the review process</b>	<< Submission 6 Sep 2013 >> Review feedback 26 Sep 2013  << Submission 27 Sep 2013 >> Review feedback N/A
<b>Recommendation by the Gold Standard secretariat for approval by the Gold Standard Technical Advisory Committee (TAC)</b>	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes 27 Sep 2013
<b>Approval by the TAC</b>	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes 07 Oct 2013

## Detailed review description

1. The project owner shall inform the *Designated National Authority (DNA)*<sup>1</sup> about the project activity.

### Documentation check

- sufficient / satisfactory information provided
- consistent information provided

### Supporting documents check

- up-to-dateness of information
- reference integrity to the content in the documentation
- accuracy of the information
- N/A

### Evidence found during field-visit

- observed or experienced during the field-visit
- confirmed by interview(s)
- confirmed by general impression
- N/A

### Corrective Action Requests (CARs) or Forward Action Requests (FARs)

None

### Final Conclusion

- Accepted
- Accepted with FAR (...)

<sup>1</sup> Designated National Authority (DNA)

<https://cdm.unfccc.int/DNA/index.html>

2. Written affirmation to uphold ILO in case the government is not ILO member. ILO copies shall be available to workers.

**Documentation check**

- sufficient / satisfactory information provided
- consistent information provided

**Supporting documents check**

- up-to-dateness of information
- reference integrity to the content in the documentation
- accuracy of the information
- N/A

**Evidence found during field-visit**

- observed or experienced during the field-visit
- confirmed by interview(s)
- confirmed by general impression
- N/A

**Corrective Action Requests (CARs) or Forward Action Requests (FARs)**

**CAR 02-01**

>> Review Gold Standard secretariat

The project owner should submit its FSC certification report as supporting document and provide reference to the pages of this document. (26. Sep 2013)

<< Feedback project developer

The FSC certification Report has been submitted to the Gold Standard secretariat. References to the pages of this supporting document were added to the CR-BRP - Transition Document. (27. Sept. 2013)

>> Review Gold Standard secretariat

**Cosed (XXX)**

**Final Conclusion**

- Accepted
- Accepted with FAR (...)

3. Signed declaration on anti-corruption by the project owner.

**Documentation check**

- sufficient / satisfactory information provided
- consistent information provided

**Supporting documents check**

- up-to-dateness of information
- reference integrity to the content in the documentation
- accuracy of the information
- N/A

**Evidence found during field-visit**

- observed or experienced during the field-visit
- confirmed by interview(s)
- confirmed by general impression
- N/A

**Corrective Action Requests (CARs) or Forward Action Requests (FARs)**

None

**Final Conclusion**

- Accepted
- Accepted with FAR (...)

4. The project owner shall demonstrate that its project has engaged with stakeholders following the key principles of a FPIC approach (free, prior and informed consent).
- (a) **Free** from force, intimidation, manipulation, coercion or pressure by any government or company.
  - (b) **Prior** to the implementation of the different activities.
  - (c) **Informed**; all the relevant information shall be made available to the stakeholders that will be affected by the different project activities. This information shall be in a language that the stakeholders can easily understand.
  - (d) **Consent** requires that the stakeholders involved/affected by the project can say “Yes” or “No” to the different project activities at various stages throughout the project. The decisions must be in-line with a decision-making process that suits the circumstances.

**Documentation check**

- sufficient / satisfactory information provided
- consistent information provided

**Supporting documents check**

- up-to-dateness of information
- reference integrity to the content in the documentation
- accuracy of the information
- N/A

**Evidence found during field-visit**

- observed or experienced during the field-visit
- confirmed by interview(s)
- confirmed by general impression
- N/A

**Corrective Action Requests (CARs) or Forward Action Requests (FARs)**

**CAR 04-01**

>> Review Gold Standard secretariat

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<< Feedback project developer

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>> Review Gold Standard secretariat

**Closed (XXX)**

**Final Conclusion**

- Accepted
- Accepted with FAR (...)

5. Removal of invasive species in the buffer zone of water bodies.

**Documentation check**

- sufficient / satisfactory information provided
- consistent information provided

**Supporting documents check**

- up-to-dateness of information
- reference integrity to the content in the documentation
- accuracy of the information
- N/A

**Evidence found during field-visit**

- observed or experienced during the field-visit
- confirmed by interview(s)
- confirmed by general impression
- N/A

**Corrective Action Requests (CARs) or Forward Action Requests (FARs)**

**CAR 05-01**

>> Review Gold Standard secretariat

The project owner should submit its FSC certification report as supporting document and provide reference to the pages of this document. (26. Sep 2013)

<< Feedback project developer

The FSC certification Report has been submitted to the Gold Standard secretariat. References to the pages of this supporting document were added to the "CR-BRP - Transition Document. (27. Sept. 2013)

>> Review Gold Standard secretariat

**Cosed (XXX)**

**Final Conclusion**

- Accepted
- Accepted with FAR (...)