

KEY PROJECT INFORMATION & PROJECT DESIGN DOCUMENT (PDD)

PUBLICATION DATE **14.10.2020**

VERSION **v. 1.2**

This document contains the following Sections

Key Project Information

Section A – Description of project

Section B - Application of approved Gold Standard Methodology (ies) and/or demonstration of SDG Contributions

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KEY PROJECT INFORMATION

GS ID of Project	GS10798
Title of Project	Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4
Time of First Submission Date	03/07/2020 ¹
Date of Design Certification	10/05/2022
Version number of the PDD	2.4
Completion date of version	18/01/2023
Project Developer	Swiss Carbon Value Ltd.
Project Representative	Swiss Carbon Value Ltd.
Project Participants and any communities involved	Tan Hoan Cau Ben Tre Joint Stock Company (the Project Owner) Swiss Carbon Value Ltd. (Project Developer) Energy and Environment Consultancy Joint Stock Company (GS-VER Consultant)
Host Country (ies)	Viet Nam
Activity Requirements applied	☐ Community Services Activities ☒ Renewable Energy Activities ☐ Land Use and Forestry Activities/Risks & Capacities ☐ N/A
Scale of the project activity	☐ Micro scale ☐ Small Scale ☒ Large Scale
Other Requirements applied	Renewable Energy Activity Requirements
Methodology (ies) applied and version number	ACM0002 - Grid-connected electricity generation from renewable sources (version 20.0)

¹ First submission date for the preliminary review under GS4GG.

Product Requirements applied	GS-VER ☒ GHG Emissions Reduction & Sequestration ☐ Renewable Energy Label ☐ N/A
Project Cycle:	☐ Regular ☒ Retroactive

Table 1 – Estimated Sustainable Development Contributions

Sustainable Development Goals Targeted	SDG Impact	Estimated Annual Average	Units or Products
SDG 13: Climate Action (mandatory)	Emissions Reductions	307,540	VERs
SDG 8: Decent Work and Economic Growth	Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all (100% of the employees have access to training, healthcare, insurances and better income)	80	Employee
SDG 7: Affordable and Clean Energy	Ensure access to affordable, reliable, sustainable and modern energy for all (MWh of renewable energy generated)	343,141 ²	MWh

² Feasibility Study Report (FSR), which was made by the third party and was approved by Ben Tre Provincial People's Committee – Department of Industry and Trade.

SECTION A. DESCRIPTION OF PROJECT

A.1. Purpose and general description of project

Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 includes the construction of an off-shore wind power farm in Thanh Hai commune, Thanh Phu district, Ben Tre province, Viet Nam with total capacity of 120 MW and gross annual electricity output of 389,202 GWh. The project involves the installation of total 28 wind turbines - generators in four phases.

- Phase 1 – Thanh Hai 1: The installed capacity of 30 MW and estimated annual electricity output of 96.853 GWh. Phase 1 was put into commercial operation on 29/10/2021;
- Phase 2 – Thanh Hai 2: The installed capacity of 30 MW and estimated annual electricity output of 96.853 GWh. Phase 2 was put into commercial operation on 31/10/2021;
- Phase 3 – Thanh Hai 3: The installed capacity of 30 MW and estimated annual electricity output of 97.748 GWh. Phase 3 is expected to be commercial operation on 01/01/2023;
- Phase 4 – Thanh Hai 4: The installed capacity of 30 MW and estimated annual electricity output of 97.748 GWh. Phase 4 is expected to be commercially operation on 01/01/2023.

The purpose of the project activity is to generate power using renewable energy source and export to the national grid by utilizing wind energy. The net electricity generated (with an estimated annual volume of 389.202 GWh³) will be supplied to the national grid via a newly constructed transmission line from the plant to a transformer station. Prior to the implementation of the project activity, electricity in Vietnam is generated mainly from fossil fuel sources and is solely distributed to consumers via the unique national electricity grid.

The baseline scenario of the project activity is the same as the scenario existing prior to the start of implementation of the project activity.

³ Annual electricity output when all 4 phases are completed.

The project will reduce the emission of greenhouse gases by replacing electricity generated from fossil fuel fired power plants with zero emissions electricity from a wind power plant. It is expected that the power plant when in full operation, will result in the reduction of 307,540 tCO₂e on average per year and 1,537,698 tCO₂e over the first crediting period.

A.1.1. Eligibility of the project under Gold Standard

The project activity meets the eligibility criteria as per section 3.1.1 of GS4GG Principles & Requirements, version 1.2 as described below.

- (a) Type of Project: The project activity includes construction and operation of an off-shore wind power plants to generate renewable electricity to supply the national grid. The off-shore wind power project activity is an eligible project type according to section 2 of GS4GG Renewable energy activity requirements, version 1.4.
- (b) Location of Project: The project is located in Thanh Hai commune, Thanh Phu district, Ben Tre province, Viet Nam.
- (c) Project Area, Project Boundary and Scale: The project area is 12.5 hectares⁴. According to the applied CDM methodology ACM0002, version 20.0, the spatial extent of the project boundary includes the Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 and all power plants connected physically to the national electricity grid which the proposed project is also connected. The detailed on the project boundary is presented in Section B.3 of this PDD. The installed capacity of the project activity is 120 MW so it is large scale project.

Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 is seeking registration only in GS4GG program. The proposed project activity is not participated to other emissions trading programs, other binding limits, other forms of environmental credits or other GHG programs. This project is not rejected by any other GHG programs. Therefore, no double counting of impacts is potentially in this project activity. This information is confirmed in the no-double counting

⁴ The land lease contract was signed between the PO and Ben Tre Provincial People's Committee.

declaration by the project owner.

- (d) Host Country Requirements: The proposed project activity is in compliance with applicable Vietnam's laws and environmental, ecological and society regulations including the law on investment, the law on environmental protection, the electricity law, the labour code, the law on gender equality, the land law... which is documented in the project investment license issued by Ben Tre Provincial People's Committee (Ben Tre PPC), the approval of environmental impact assessment report (EIAR) issued by Ben Tre PPC, the business license for the project owner issued by Ben Tre PPC, the approval of feasibility study report (FSR) issued by Ben Tre PPC, the power purchase agreement and electricity connection agreement signed with Vietnam Electricity (EVN), Land lease contract signed with Ben Tre PPC; and Land use license issued by Ben Tre PPC.

The project's contribution to the sustainable development of the local area as well as the host country are as follows:

General contributions towards national sustainable development:

- In recent years, Vietnam has suffered a critical electricity shortage as a consequence of rapidly increasing demand and insufficient supply, thereby imposing negative impacts on economic growth as well as on daily lives of people. This project activity will be a contribution towards balancing the supply and demand gap. By exporting electricity directly to the national grid, it will help to reduce electricity losses across the national grid and to lessen the risks of cascading national grid collapse due to overload.
- Reducing reliance on exhaustible fossil fuel based power sources and also reducing the import of fuels for the purpose of power generation.
- Modern and highly efficient turbines and generators are being used in the project and the power transmission will be at high voltage to ensure low losses. The project will accelerate the deployment of renewable energy technologies in Vietnam.

Contributions towards local sustainable development:

- Economic well-being

Once commissioning, this proposed project will increase the industrial share in the

economic structure of Ben Tre province. This proposed project will have a significant contribution to the state budget via annual taxes (i.e. corporate income tax⁵, resources tax⁶).

By supplying a stable electricity output, this project will facilitate the industrialisation process of the province and support economic development of local villages through fostering tourism, trade and services inside the province.

After commissioning, this project will supply electricity to speed up the commissioning of other large infrastructure projects in the region.

- Social well-being

The project improves existing roads, which will facilitate the transportation and travel. Thus, the project creates convenience for the transfer and trade in the area, thereby improves minorities' living standard and contribute to fill the gap in development between different ethnic groups in Viet Nam.

By supplying a stable electricity output, this project will facilitate the industrialisation process of the province and support economic development of local villages through fostering tourism, trade and services inside the province. This project will contribute directly to improve the low-quality infrastructure systems of the mountainous commune.

The project will construct a new transmission line together with the wind power plant, which will reduce electricity losses and improve the quality of electricity supply in the region.

The communication system and clean water treatment serving for workers of the project during the both construction and operation phases will be shared with local people. Besides, the project activity could result in the employment of the local people for the construction and operation later. Therefore, this project activity will contribute directly to alleviate poverty in the region.

In conclusion the project activity will contribute positively towards sustainable development of Viet Nam.

⁵ [Law on Corporate Income Tax No.14/2008/QH12](#) dated 03/06/2008.

⁶ [Investment Law](#) and [Law on resources tax](#).

- (e) **Contact Details:** The project participants include Tan Hoan Cau Ben Tre Joint Stock Company, Swiss Carbon Value Ltd and Energy and Environment Consultancy Joint Stock Company.

Swiss Carbon Value Ltd, a company organized and existing under the laws of Switzerland, with the company registration number CH-020.3.032.152-1. Tan Hoan Cau Ben Tre Joint Stock Company, a company organized and existing under the laws of Vietnam, with the company registration number 1301060117. Energy and Environment Consultancy Joint Stock Company, a company organized and existing under the laws of Vietnam, with the company registration number 0103013853.

All project participants are in good standing with no evidence of insolvency or legal/criminal notices placed against it. Contact details of all the Project Participants are presented in Appendix 1 of this GS PDD.

- (f) **Legal Ownership and Other Rights:** The project owner has full legal right to control and operate the project activity. They have got all the required permits which granted by the government like as Business Certificate issued by Ben Tre PPC, Investment Licence of the Project issued by Ben Tre PPC, the approval of EIAR issued by Ben Tre PPC, the approval of FSR issued by Ben Tre PPC, Power Purchase Agreement signed with EVN, Land lease contract signed with Ben Tre PPC; and Land Use Licence issued by Ben Tre PPC.

Swiss Carbon Value Ltd. – The project developer has signed the emission reduction purchase agreement for Wind Power Project At Sea – No.5 Wind Power Plant Thanh Hai 1-4 with the project owner and therefore has full and uncontested legal ownership of any GS-VERs that are generated under Gold Standard Certification.

- (g) **Official Development Assistance (ODA) Declaration:** No public funds or ODA is involved in this project activity.
- (h) The project complies with the renewable energy activity requirements as follows:

- The project is located in Viet Nam - a Lower Middle-Income country⁷.
- The penetration level - the ratio of installed capacity of the wind power (582 MW⁸) to the total grid installed capacity (48,573 MW⁹) is 1.2%, which is less than 5%.

Moreover, this project activity is a grid-connected off-shore wind power plant, according to Paragraph 2.1.4 of Renewable Energy Activity Requirements/ version 1.4, grid-connected off-shore will projects is automatic eligible.

A.1.2. Legal ownership of products generated by the project and legal rights to alter use of resources required to service the project

The project owner – Tan Hoan Cau Ben Tre Joint Stock Company has the legal ownership of the products that generated under Gold Standard Certification and has legal rights concerning changes in use of resources required to service the project for land use, construction, selling electricity license and so on, which is documented in Investment License, Power Purchase Agreement.

Swiss Carbon Value Ltd. – The project developer has signed the emission reduction purchase agreement for Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 with the project owner and therefore has full and uncontested legal ownership of any GS-VERs that are generated under Gold Standard Certification. Energy and Environment Consultancy Joint Stock Company (VNEEC) is the service provider to develop and register the proposed project activity according to GS4GG.

A.2. Location of project

The wind power plant is located in Thanh Hai communes, Thanh Phu district, Ben Tre province of Viet Nam.

The geo-coordinates of the project's site are as follow:

⁷ <https://datahelpdesk.worldbank.org/knowledgebase/articles/906519>.

⁸ The official letter No.1380/EVN-TTD issued by Vietnam Electricity on 22nd March 2021 regarding "Vietnam's wind power development in 2021" – available at: <https://law.concetti.vn/en/document/cong-van-1380-evn-ttd-ve-tinh-hinh-phat-trien-dien-gio-tai-viet-nam-nam-2021>.

⁹ EVN Annual Report 2018 – available at: <https://www.evn.com.vn/d6/news/EVN-Annual-Report-2018-2-50-24114.aspx>.

- Northern latitude: 09°54'19"
- Eastern longitude: 106°40'48"

The location of the project's site including wind turbines and the administrative office is shown in Figure 1 below:

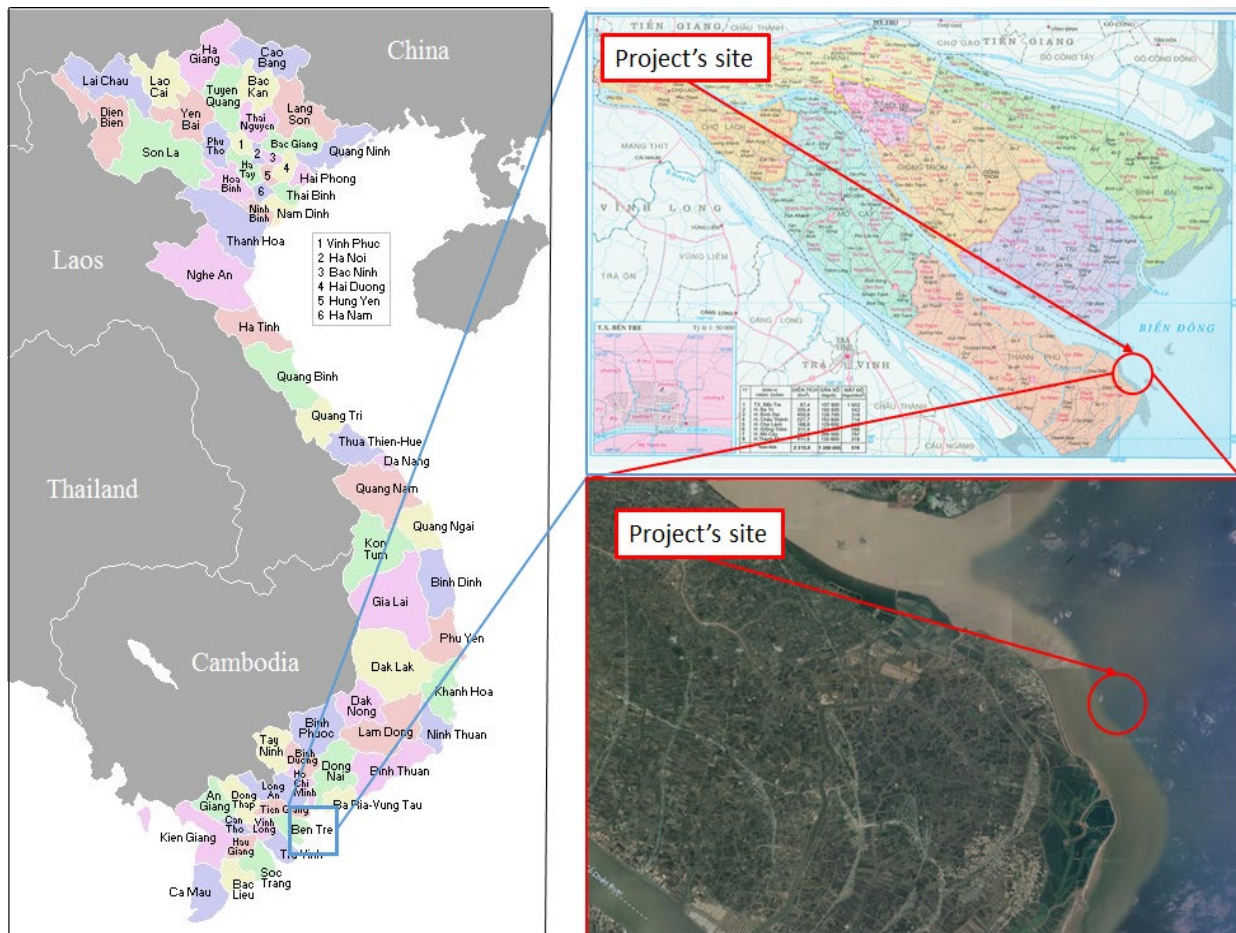


Figure 1 - Project's location

A.3. Technologies and/or measures

The project involves the construction of a 120 MW grid-connected off-shore wind power plant with wind turbines and generators to convert kinetic power of the wind to electrical energy, which will be supplied to the national grid at the connection point through the transmission line. At the connection point, the electricity meter systems will be installed. They are digital and bi-directional type to measure the export and import of electricity of Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4.

The main technical parameters of the project are shown in Table below.

**Table 2 - Main technical parameters of Wind Power Project at Sea – No.5
Wind Power Plant Thanh Hai 1-4**

Main parameter	Unit	Value
1. Turbine¹⁰		
• Type		Phase 1: SG4.5-145 Phase 2: SG4.5-145 Phase 3: D450-155 Phase 4: D450-155
• Number of turbines + Phase 1 + Phase 2 + Phase 3 + Phase 4	Set	Total: 28 7 7 7 7
2. Generator¹¹		
• Type		Three-phase asynchronous
• Voltage	V	690
• Frequency	Hz	50
3. Main transformer¹²		
• Type		Three phases, outdoor
• Rated capacity	MVA	4 x 40
• Voltage	kV	22/110
4. Technical lifetime of the plant¹³	year	20

The project's layout is shown in the below figure:

¹⁰ FSR.

¹¹ FSR.

¹² FSR.

¹³ [Tool10 – Tool to determine the remaining lifetime of equipment](#), EB50, Annex 15.

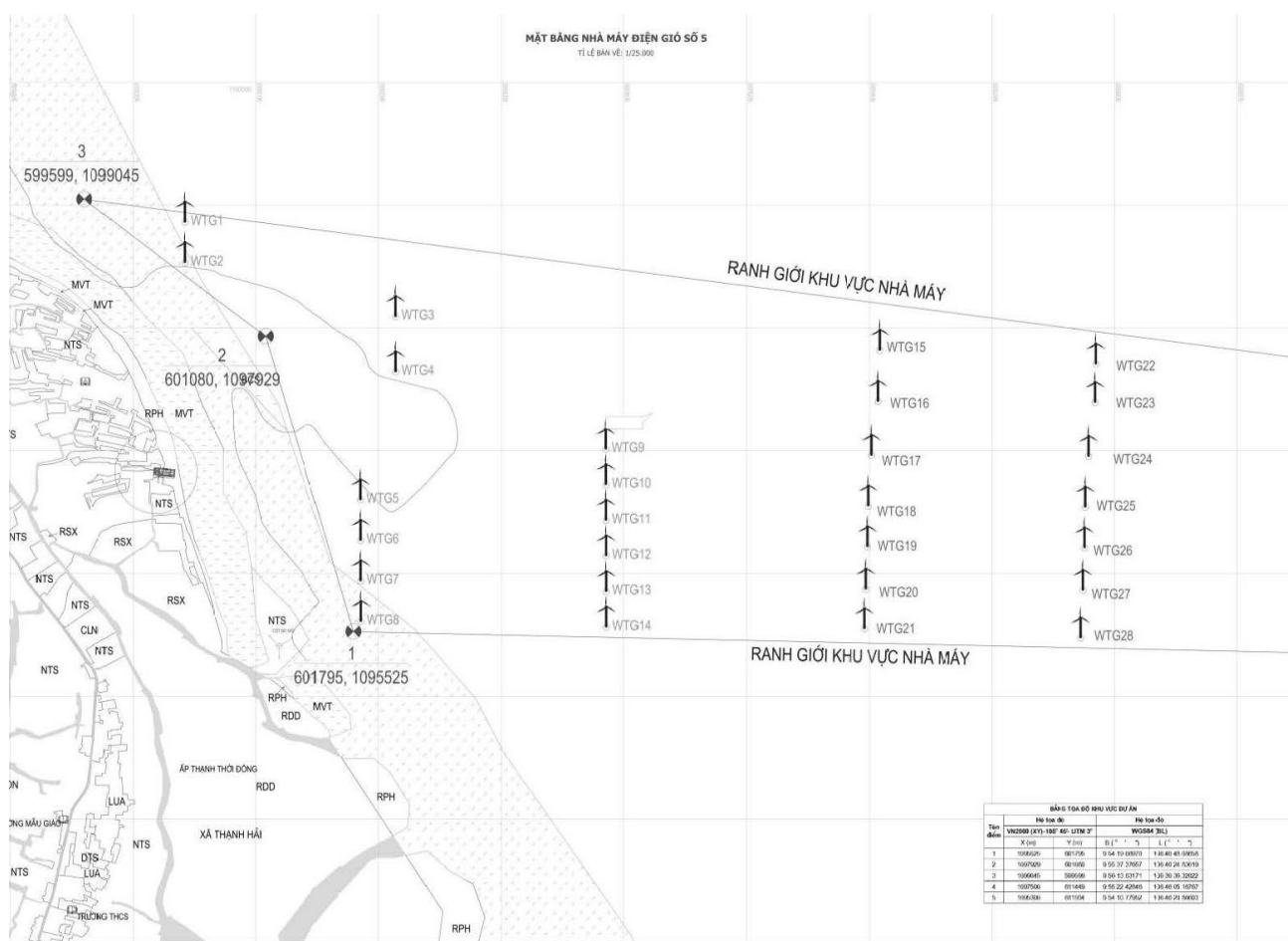


Figure 2: Project's layout

A.4. Scale of the project

Large scale project. The total capacity of the project activity is 120 MW, which is higher than 15 MW. According to "GHG emission reductions and sequestration product requirements", Version 2.1, the project falls into the large-scale category.

A.5. Funding sources of project

The proposed project is not using any ODA funding as mentioned in ODA Declaration.

SECTION B. APPLICATION OF APPROVED GOLD STANDARD METHODOLOGY (IES) AND/OR DEMONSTRATION OF SDG CONTRIBUTIONS

B.1. Reference of approved methodology (ies)

Applied methodology:

- Version 20.0 of ACM0002: "Grid-connected electricity generation from renewable sources";

(<https://cdm.unfccc.int/methodologies/DB/XP2LKUSA61DKUQC0PIWPGWDN8ED5PG>)

Related tools:

- Version 07.0 of the Tool07: "Tool to calculate the emission factor for an electricity system"; and
(<https://cdm.unfccc.int/methodologies/PAmethodologies/tools/am-tool-07-v7.0.pdf>)
- Version 07.0.0 of the Tool01: "Tool for the demonstration and assessment of additionality".
(<https://cdm.unfccc.int/methodologies/PAmethodologies/tools/am-tool-01-v7.0.0.pdf>)

B.2. Applicability of methodology (ies)

This proposed project is a grid-connected renewable power generation that is then eligible to apply Version 20.0 of ACM0002. More details of the comparison of the project's characteristics and the applicability criteria as specified in, Version 20.0 of ACM0002 is given in Table below.

Table 3 - Comparison of project's characteristics and eligibility criteria of ACM0002

No.	Applicability conditions in Version 20.0 of ACM0002	Characteristics of the project activity	Applicability criterion met?
1	This methodology is applicable to grid-connected renewable energy power generation project activities that: a) Install a Greenfield power plant; b) Involve a capacity addition to (an) existing plant(s); c) Involve a retrofit of (an) existing operating plant(s)/unit(s); d) Involve a rehabilitation of (an) existing plant(s)/unit(s); or e) Involve a replacement of (an) existing plant(s)/unit(s).	The project activity consists of the installation of a new grid connected renewable power plants at a site where no renewable power plant was operated prior to the implementation of the project activity (green field plant)	Yes
2	The methodology is applicable under the following conditions:	The project activity is the installation of new wind power plant	Yes

	a) The project activity may include renewable energy power plant/unit of one of the following types: hydro power plant/unit with or without reservoir, wind power plant/unit, geothermal power plant/unit, solar power plant/unit, wave power plant/unit or tidal power plant/unit;		
	b) In the case of capacity additions, retrofits, rehabilitations or replacements (except for wind, solar, wave or tidal power capacity addition projects) the existing plant/unit started commercial operation prior to the start of a minimum historical reference period of five years, used for the calculation of baseline emissions and defined in the baseline emission section, and no capacity expansion, retrofit, or rehabilitation of the plant/unit has been undertaken between the start of this minimum historical reference period and the implementation of the project activity.	The project activity is the installation of a new wind power plant	Not applicable
3	In case of hydro power plants, one of the following conditions shall apply:		
	a) The project activity is implemented in an existing single or multiple reservoir, with no change in the volume of any of the reservoir or	The project activity is the installation of new wind power plant	Not applicable
	b) The project activity is implemented in existing single or multiple reservoirs,	The project activity is the installation of new wind power plant	Not applicable

	where the volume of the reservoir(s) is increased and the power density, calculated using equation (7), is greater than 4 W/m ² ; or		
	c) The project activity results in new single or multiple reservoirs and the power density, calculated using equation (7), is greater than 4 W/m ²	The project activity is the installation of new wind power plant	Not applicable
	d) The project activity is an integrated hydro power project involving multiple reservoirs, where the power density for any of the reservoirs, calculated using equation (7), is lower than or equal to 4 W/m ² , all of the following conditions shall apply: i. The power density calculated using the total installed capacity of the integrated project, as per equation (8), is greater than 4 W/m²; ii. Water flow between reservoirs is not used by any other hydropower unit which is not a part of the project activity; iii. Installed capacity of the power plant(s) with power density lower than or equal to 4 W/m² shall be: iv. Lower than or equal to 15 MW; and v. Less than 10 percent of the installed capacity of integrated hydro power project.	The project activity is the installation of new wind power plant	Not applicable
4	In the case of integrated hydro power projects, project proponent shall:	This project activity is not an integrated hydropower plant	Not applicable

	a) Demonstrate that water flow from upstream power plants/units spill directly to the downstream reservoir and that collectively constitute to the generation capacity of the integrated hydro power project; or b) Provide an analysis of the water balance covering the water fed to power units, with all possible combinations of reservoirs and without the construction of reservoirs. The purpose of water balance is to demonstrate the requirement of specific combination of reservoirs constructed under CDM project activity for the optimization of power output. This demonstration has to be carried out in the specific scenario of water availability in different seasons to optimize the water flow at the inlet of power units. Therefore, this water balance will take into account seasonal flows from river, tributaries (if any), and rainfall for minimum five years prior to implementation of CDM project activity		
5	This methodology is not applicable to: a) Project activities that involve switching from fossil fuels to renewable energy at the site of the project activity, since in this case the baseline may be the continued use of fossil fuels at the site b) Biomass fired power plants/units	It is a renewable energy project with no fuel-switch involved	Not applicable Not applicable

6	In the case of retrofits, rehabilitations, replacements, or capacity additions, this methodology is only applicable if the most plausible baseline scenario, as a result of the identification of baseline scenario, is "the continuation of the current situation, that is to use the power generation equipment that was already in use prior to the implementation of the project activity and undertaking business as usual maintenance".	The project activity is the installation of new wind power plant	Not applicable
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B.3. Project boundary

According to Version 20.0 of ACM0002, the spatial extent of the project boundary includes the No.5 Wind Power Plant Thanh Hai 1-4 and all power plants connected physically to the national electricity grid system that the proposed GS4GG project is also connected to.

The flow diagram of the project boundary is shown in Figure below.

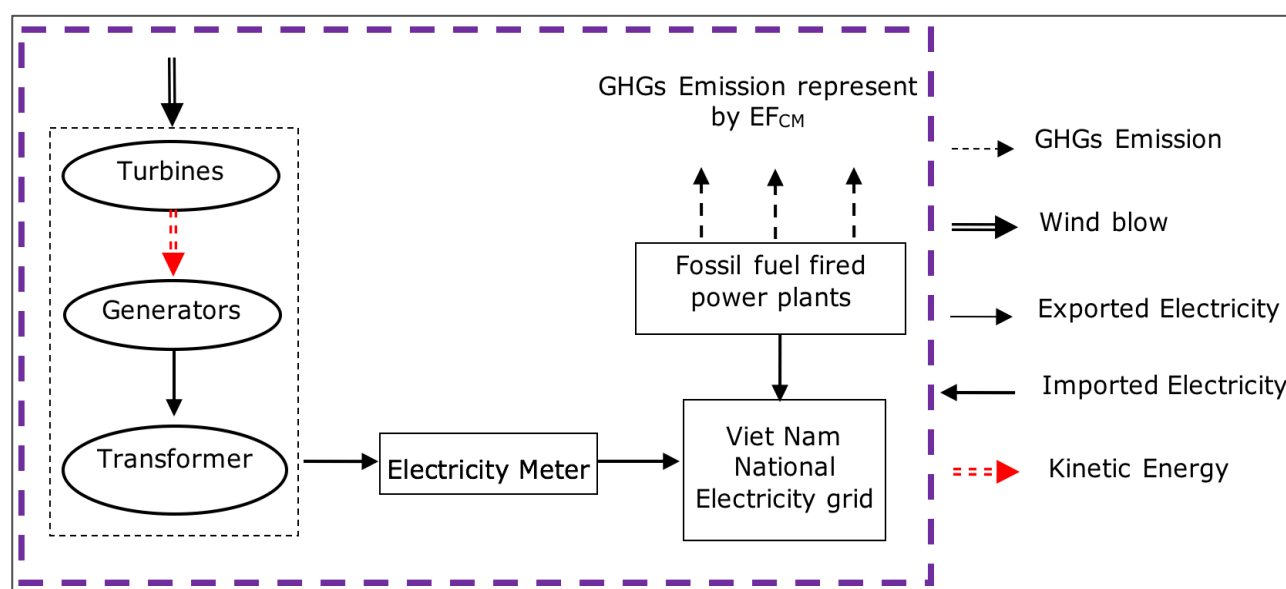


Figure 3 - Project boundary

The GHGs and emission sources included in the project boundary are shown in the table below:

Table 4 - Sources and gases included in or excluded from the project boundary

Source		GHGs	Included?	Justification/Explanation
Baseline scenario	CO ₂ emission from electricity generation in fossil fuel fired power plants that is displaced due to the project activity	CO ₂	Yes	Main emission source
		CH ₄	No	Minor emission source
		N ₂ O	No	Minor emission source
Project scenario	Proposed project	CO ₂	No	According to ACM0002, the project emission of wind energy project activity is zero.
		CH ₄	No	
		N ₂ O	No	

B.4. Establishment and description of baseline scenario

According to version 20.0 of ACM0002, for the project activity including the installation of a Greenfield grid-connected renewable power plant, the baseline scenario is the following:

“Electricity delivered to the grid by the project activity would have otherwise been generated by the operation of grid-connected power plants and by the addition of new generation sources, as reflected in the combined margin calculations described in the “Tool to calculate the emission factor for an electricity system”.

The Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 involves the installation and operation of a Greenfield wind power plant to generate and supply electricity to the national grid. The Viet Nam national electricity grid is operated and monopolized by the EVN and is the unique transmission and distribution line, to which all power plants in Viet Nam are physically connected to and the proposed project activity is not outside that system. Therefore, the baseline scenario of the project is to provide an equal amount of electricity provided by the national grid where the proposed project is also connected.

The combined margin emission factor of the national grid ($EF_{grid,CM,y}$) is calculated according to Tool 07 - "Tool to calculate the emission factor for an electricity system", version 07.0, will be used to calculate baseline emissions from the project activity. Data to calculate $EF_{grid,CM,y}$ for specific wind power projects is published by Department of

Climate Change - Ministry of Natural Resources and Environment, Official Letter No. 263/BDKH dated 12/03/2020 on "Viet Nam grid emission factor 2018". For further details on $EF_{grid,CM,y}$ see Section B.6.2.

B.5. Demonstration of additionality

Specify the methodology, activity requirement or product requirement that establishes deemed additionality for the proposed project (including the version number and the specific paragraph, if applicable).

According to version 20.0 of ACM0002, Section 5.3 - Additionality, the project proponent shall refer to the latest version of "Tool32: Positive lists of technologies" (version 02.0) for the simplified procedure to demonstrate additionality.

Describe how the proposed project meets the criteria for deemed additionality.

The project activity includes the construction and operation of a grid-connected off-shore wind power plant. At the time of GS-PDD first submission for preliminary review on 03/07/2020, the percentage share of total installed capacity of the wind power plants (582 MW¹⁴) in the total installed grid-connected power generation capacity in Vietnam (48,573 MW¹⁵) is 1.2%, which is less than 2%. According to Paragraph 14 (a), Section 5.2.1 of Tool32: Positive lists of technologies, version 02.0, the project activity is additional.

¹⁴ The official letter No. 1380/EVN-TTD issued by Vietnam Electricity on 22nd March 2021 regarding "Vietnam's wind power development in 2021" - available at: <https://law.concetti.vn/en/document/cong-van-1380-evn-ttd-ve-tinh-hinh-phat-trien-dien-gio-tai-viet-nam-nam-2021>.

¹⁵ EVN Annual Report 2018 - available at: <https://www.evn.com.vn/d6/news/EVN-Annual-Report-2018-2-50-24114.aspx>.

B.5.1. Prior Consideration

The time of first submission date for preliminary review was 03/07/2020, it is within one year of the project start date on 22/07/2019. The preliminary review has been completed by the GS registry and the proposed project activity is listed at <https://registry.goldstandard.org/projects/details/2755>.

The key milestones of the project are listed in below table.

Date	Key events
22/07/2019	Start date of the project (the date that the equipment supply contract was signed)
03/07/2020	First submission for GS4GG preliminary review
29/10/2021	Commercial operation date of Phase 1
31/10/2021	Commercial operation date of Phase 2
01/01/2023	Expected commercial operation date of Phase 3 and Phase 4

B.5.2. Ongoing Financial Need

Not applicable.

B.6. Sustainable Development Goals (SDG) outcomes

Table 5 – SDG Impacts

Sustainable Development Goals Targeted	Most relevant SDG Target	SDG Impact
		Indicator (Proposed or SDG Indicator)
13 Climate Action (mandatory)	Target 13.2: Integrate climate change measures into national policies, strategies grid planning	Climate action – GHG emissions reductions
8 Decent Work and Economic Growth	Target 8.5: By 2030, achieve full and productive employment and decent work for all women and men, including for young people and persons with	Indicator 8.5.1 – Average hourly earnings of female and male employees, by occupation, age and persons with disabilities

disabilities, and equal pay for work of equal value

		Indicator	7.2.1	–
7 Affordable and Clean Energy	Target 7.2: By 2030, increase substantially the share of renewable energy in the global energy mix	Renewable energy share in the total final energy consumption (MWh of renewable energy generated)		

B.6.1. Explanation of methodological choices/approaches for estimating the SDG Impact

SDG 7: Ensure access to affordable, reliable, sustainable and modern energy for all

This SDG outcome is measured in accordance with ACM 0002 “*Grid-connected electricity generation from renewable sources*” version 20.0 for the net electricity supplied to the national grid by the project, $EG_{\text{facility},y}$ (MWh).

$EG_{\text{facility},y}$ is determined as follows:

$$EG_{\text{facility},y} = EG_{\text{export},y} - EG_{\text{import},y}$$

Where:

$EG_{\text{facility},y}$ = Quantity of net electricity generation supplied by the project plant/unit to the grid in year y (MWh/yr)

$EG_{\text{export},y}$ = Quantity of electricity exported to the national grid by the project activity in year y (MWh/yr)

$EG_{\text{import},y}$ = Quantity of electricity imported from the national grid by the project activity in year y (MWh/yr)

Baseline:

Prior to the implementation of the project activity, electricity in Viet Nam is generated mainly from fossil fuel sources and is solely distributed to consumers via the unique national electricity grid.

Project's outcome:

This project annually supplements 389,202 MWh of clean and sustainable electricity to the national grid, thereby contributing to the Target 7.2:

By 2030, increase substantially the share of renewable energy in the global energy mix.

SDG 8: Promote sustained, inclusive, and sustainable economic growth, full and productive employment and decent work for all

Baseline:

The project activity is located in Ben Tre province. The average monthly income per capita of Ben Tre province in 2019 is 3.685 million VND¹⁶.

Project's outcome:

The project leads to employment opportunities for the local community with an expected average monthly salary of 8.0 million VND, which would not have been possible in the baseline scenario. The project provides sustainable energy resources contributing to the economic development of the region.

The project contributes to the Target 8.5:

- By 2030, achieve full and productive employment and decent work for all women and men, including for young people and persons with disabilities, and equal pay for work of equal value.

SDG 13: Take urgent action to combat climate change and its impacts

For the climate combat action, this project directly reduces 348,822 tons of CO₂ equivalent/year, thereby the project contributes to the National SDG 13 - Target 13.2 - Integrate climate change measures into national policies, strategies and planning.

This SDG outcome is measured in accordance with ACM0002 "Grid-connected electricity generation from renewable sources" version 20.0 for the emission reductions generated by the project, ER_y (tCO₂e).

Baseline emissions (BE_y)

Baseline emissions include only CO₂ emissions from electricity generation from fossil fuel fired power plants that are displaced due to the project activity, calculated as follows:

$$BE_y = EG_{PJ,grid,y} \times EF_{grid,CM,y}$$

Where:

BE_y Baseline emissions in year y (tCO₂/yr).

¹⁶ General Statistics Office of Viet Nam.

$EG_{PJ,grid,y}$ Quantity of net electricity generation that is produced and fed into the grid as a result of the implementation of the CDM project activity in year y (MWh/yr)

$EF_{grid,CM,y}$ Combined margin CO_2 emission factor for grid connected power generation in year y calculated using the latest version of the "Tool to calculate the emission factor for an electricity system".

Calculation of EG_{PJ}

Because the project activity is the installation of a new grid-connected renewable power plant/unit at a site where no renewable power plant was operated prior to the implementation of the project activity, then:

$$EG_{PJ,grid,y} = EG_{facility,y}$$

Where:

$EG_{PJ,grid,y}$ = Quantity of net electricity generation that is produced and fed into the grid as a result of the implementation of the CDM project activity in year y (MWh/yr)

$EG_{facility,y}$ = Quantity of net electricity generation supplied by the project plant/unit to the grid in year y (MWh/yr)

Therefore, the baseline emissions are calculated as follows:

$$BE_y = EG_{facility,y} \times EF_{grid,CM,y}$$

Project emission (PE_y)

According to ACM0002, Version 20.0, the project emissions are calculated using the following equation:

$$PE_y = PE_{FF,y} + PE_{GP,y} + PE_{HP,y}$$

Where:

PE_y Project emissions in year y (tCO_2e/yr)

$PE_{FF,y}$ Project emissions from fossil fuel consumption in year y (tCO_2/yr)

$PE_{GP,y}$ Project emissions from the operation of geothermal power plants due to the release of non-condensable gases in year y (tCO_2e/yr)

$PE_{HP,y}$ Project emissions from water reservoirs of hydro power plants in year y (tCO_2e/yr)

The proposed project is a wind power plant that neither uses fossil fuel nor operates geothermal power plants or having water reservoirs (i.e. $PE_{FF,y} = 0$; $PE_{GP,y} = 0$; $PE_{HP,y} = 0$); therefore, the project emission is zero:

$$PE_y = 0$$

Leakage (L_y)

According to ACM0002, Version 20.0, no leakage emissions are considered. The main emissions potentially giving rise to leakage in the context of electric sector projects are emissions arising due to activities such as power plant construction and upstream emissions from fossil fuel use (e.g. extraction, processing, transport). These emissions sources are neglected.

Emission reductions (ER_y)

Emission reductions are calculated as follows:

$$ER_y = BE_y - PE_y$$

Where:

- ER_y Emission reductions in year y (t CO₂e/yr).
- BE_y Baseline emissions in year y (t CO₂e/yr)
- PE_y Project emissions in year y (t CO₂e/yr).

B.6.2. Data and parameters fixed ex ante

SDG13

Data/parameter	EF_{grid,OM,y}
Unit	tCO ₂ /MWh
Description	Operating margin CO ₂ emission factor for grid connected power generation in year y
Source of data	Data published by DNA Viet Nam (Ref. http://dcc.gov.vn/van-ban-phap-luat/1059/Nghien-cuu,-xay-dung-he-so-phat-thai-(EF)-cua-luoi-dien-Viet-Nam-(K%C3%A8m-CV-263/BDKH).html)
Value(s) applied	0.8795
Choice of data or Measurement methods and procedures	The latest EF _{grid,OM,y} for 2018 was calculated and published by Ministry of Natural Resources and Environment, Department of Climate Change (DNA of Viet Nam) on 12/03/2020 as per "Tool to calculate the emission factor for an electricity system" – Version 07.0
Purpose of data	For calculation of the combined margin CO ₂ emission factor (EF _{grid,CM,y})

Additional comment	N/A
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SDG 13

Data/parameter	$EF_{grid,BM,y}$
Unit	tCO ₂ /MWh
Description	Build margin CO ₂ emission factor for grid connected power generation in year y
Source of data	Data published by DNA Viet Nam (Ref. http://dcc.gov.vn/van-ban-phap-luat/1059/Nghien-cuu,-xay-dung-he-so-phat-thai-(EF)-cua-luoi-dien-Viet-Nam-(K%C3%A8m-CV-263/BDKH).html)
Value(s) applied	0.9465
Choice of data or Measurement methods and procedures	The latest $EF_{grid,BM,y}$ for 2018 was calculated and published by Ministry of Natural Resources and Environment, Department of Climate Change (DNA of Viet Nam) on 12/03/2020 as per "Tool to calculate the emission factor for an electricity system" – Version 07.0
Purpose of data	For calculation of the combined margin CO ₂ emission factor ($EF_{grid,CM,y}$)
Additional comment	N/A

SDG 13

Data/parameter	$EF_{grid,CM,y}$
Unit	tCO ₂ /MWh
Description	Combined margin CO ₂ emission factor for grid connected power generation in year y
Source of data	Data published by DNA Viet Nam (Ref. http://dcc.gov.vn/van-ban-phap-luat/1059/Nghien-cuu,-xay-dung-he-so-phat-thai-(EF)-cua-luoi-dien-Viet-Nam-(K%C3%A8m-CV-263/BDKH).html)

Value(s) applied	0.89625
Choice of data or Measurement methods and procedures	The $EF_{grid,CM,y}$ is calculated using Operating margin CO ₂ emission factor - $EF_{grid,OM,y}$ and Build margin CO ₂ emission factor - $EF_{grid,BM,y}$ which were published by DNA of Viet Nam and weightings w_{OM} , w_{BM} of 0.75 and 0.25 for wind power plant project as per "Tool to calculate the emission factor for an electricity system" – Version 07.0
Purpose of data	For calculation of CO ₂ emission reductions
Additional comment	$EF_{grid,CM,y} = EF_{grid,OM,y} * 0.75 + EF_{grid,BM,y} * 0.25$

B.6.3. Ex ante estimation of SDG Impact

SDG 7: Ensure access to affordable, reliable, sustainable and modern energy for all

According to the approved FSR, the project activity annually supplements 389,202 MWh¹⁷ of clean and sustainable electricity to the national grid.

SDG 8: Promote sustained, inclusive, and sustainable economic growth, full and productive employment and decent work for all

The project leads to employment opportunities for around 80 people with an expected average monthly salary of 8.0 million VND.

SDG 13 – Take urgent action to combat climate change and its impacts

Project emissions (PE_y)

The emissions of this wind power project $PE_y = 0$

Baseline emissions (BE_y)

Baseline emissions include only CO₂ emissions from electricity generation by fossil fuel fired power plants that are displaced due to the project activity. It is calculated as follows:

$$BE_y = EG_{PJ,grid,y} \times EF_{grid,CM,y}$$

¹⁷ Annual electricity output when all 4 phases of this plant are completed.

Where:

BE_y Baseline emissions in year y (t CO₂e/yr).

$EG_{PJ,grid,y}$ Quantity of net electricity generation that is produced and fed into the grid as a result of the implementation of the CDM project activity in year y (MWh/yr), and equal to 389,202 MWh/yr

$EF_{grid,CM,y} = 0.89625$ tCO₂/MWh

Calculation of the emission factor (EF) of the national electricity grid

For this crediting period, the emission factor is calculated using:

- Latest national data: The published data by the host country DNA of Viet Nam, Department of Climate Change, Ministry of Natural Resources and Environment of Viet Nam on 12/03/2020; and
- The Version 07.0 of "Tool to calculate the emission factor for an electricity system" valid from 31/08/2018 onward.

The Emission Factor of Viet Nam Electricity System for year 2018 was calculated and published by DNA of Viet Nam, Department of Climate Change, Ministry of Natural Resources and Environment of Viet Nam on 12/03/2020 using Version 07.0 of "Tool to calculate the emission factor for an electricity system", including:

The operating margin emission factor: $EF_{grid,OM,y} = 0.8795$ tCO₂/MWh

And build margin emission factor: $EF_{grid,BM,y} = 0.9465$ tCO₂/MWh

The combined emission factor is calculated as follows:

$$EF_{grid,CM,y} = EF_{grid,OM,y} \times w_{OM} + EF_{grid,BM,y} \times w_{BM}$$

Where:

w_{OM} : Weighting of OM emission factor (%)

w_{BM} : Weighting of BM emission factor (%)

However, the DNA report applied the weighting factors of both OM and BM emission are 0.5, which is not applicable for wind power generation project activities.

According to the Version 07.0 of "Tool to calculate the emission factor for an electricity system", the following default values are used in wind power generation project activities for the first crediting period and for subsequent crediting periods:

$w_{OM} = 0.75$ and $w_{BM} = 0.25$

So in the renewal crediting period, the CM emissions factor is derived as follows:

$$EF_{grid,CM,y} = 0.75 \times 0.8795 + 0.25 \times 0.9465 = 0.89625 \text{ tCO}_2/\text{MWh}$$

Therefore:

$$BE_y = 389,202 \times 0.89625 = 348,822 \text{ tCO}_2\text{e/yr}$$

Leakage (LE_y)

As it is stated in ACM0002 version 20.0, no leakage emission is considered. The main emissions potentially giving rise to leakage in the context of electric sector projects are emissions arising due to activities such as power plant construction and upstream emissions from fossil fuel use (e.g. extraction, processing, transport). These emission sources are neglected.

Emission Reductions (ER_y)

Emission reductions are calculated as follows:

$$ER_y = BE_y - PE_y = 348,822 \text{ tCO}_2/\text{yr}$$

B.6.4. Summary of ex ante estimates of each SDG Impact

SDG 7 – Ensure access to affordable, reliable, sustainable and modern energy for all

Table 6 - Net electricity supplied to national grid

Year	Baseline estimate (MWh)	Project estimate (MWh)	Net benefit (MWh)
2021 (from 29/10 to 31/12)	0	33,434	33,434
2022	0	193,706	193,706
2023	0	389,202	389,202
2024	0	389,202	389,202
2025	0	389,202	389,202
2026 (from 01/01 to 28/10)		320,958	320,958
Total	0	1,715,705	1,715,705
Total number of crediting years	5		
Annual average over the crediting period	0	343,141	343,141

SDG 8 - Promote inclusive and sustainable economic growth, employment and decent work for all

The project leads to employment opportunities which would not have been possible in the baseline scenario. The project is expected to provide permanent employment to 80 people¹⁸.

A wind project in general does not expose workers to unsafe or unhealthy work environments in terms of toxins or chemicals. In addition, the project follows national safety rules under (Host Country) Law that covers work safety. The staffs will participate the operation training before completion of the wind power plant. After the course, the staffs passed the examination will get the certificate. Then internal trainings on plant operation will be conducted for plant staffs. The project proponent also creates good labor conditions for the plant staffs. Every year, all the employees are checked-up at the medical center for free using their health insurance via insurance card.

SDG 13 - Take urgent action to combat climate change and its impacts

Table 7 - Expected emission reduction in the 1st crediting period

Year	Baseline estimate (tCO ₂ e)	Project estimate (tCO ₂ e)	Net benefit (tCO ₂ e)
2021 (from 29/10 to 31/12)	29,965	0	29,965
2022	173,609	0	173,609
2023	348,822	0	348,822
2024	348,822	0	348,822
2025	348,822	0	348,822
2026 (from 01/01 to 28/10)	287,658	0	287,658
Total	1,537,698	0	1,537,698

¹⁸ FSR.

Total number of crediting years	5		
Annual average over the crediting period	307,540	0	307,540

B.7. Monitoring plan

B.7.1. Data and parameters to be monitored

SDG 7 - Indicator 7.2.1: Renewable energy share in the total final energy consumption

Data / Parameter	$EG_{PJ,grid,y}$ (or $EG_{facility,y}$)
Unit	MWh/year
Description	Net electricity supplied to the national grid by the proposed project
Source of data	Direct measurement at the connection point by power meters
Value(s) applied	389,202 MWh
Measurement methods and procedures	Calculating by subtracting $EG_{y,import}$ from $EG_{y,export}$. Two-way power meters will be installed at the grid-connected point to measure the amount of electricity supplied and consumed by the proposed project by the reverse direction. The readings of electricity meter will be continuously measured and monthly recorded. The recorded data will be confirmed by the joint balance sheet which will be signed by the representatives of EVN and the project owner. Electronic data will be archived within the crediting period and 2 years after the end of the crediting period.
Monitoring frequency	Continuous measurement and monthly recording
QA/QC procedures	The uncertainty level of this data is low. The measurement/monitoring equipment should be complied with national standard and technology. These power

	meters should be calibrated and checked every 3 year according to the related national regulations ¹⁹ . Electricity protocols shall be crosschecked with electricity sale invoices.
Purpose of data	Contribution of clean and sustainable energy from the Project to the national grid and to the increase of the share of the renewable energy in the global energy mix.
Additional comment	N/A

SDG 13: Climate Action

Data / Parameter	ER _y - Annual emission reduction
Unit	tCO ₂ e/year
Description	The project will generate renewable power, which will displace part of the electricity otherwise supplied by fossil fuel fired power plants, thus GHG emission reductions are achieved via this project
Source of data	Electricity generated by No.5 Wind Power Plant and calculated combined margin (CM) emission factor will be used as reference in calculation of the emission reduction.
Value(s) applied	348,822 tCO ₂ e
Measurement methods and procedures	According to ACM0002 version 20.0
Monitoring frequency	Annual
QA/QC procedures	Cross-checking with electricity data
Purpose of data	Direct emission reductions of the Project over the Baseline
Additional comment	N/A

¹⁹ Decision No. 2739 QD-TDC on promulgating metrological technical standard of Viet Nam.

SDG 8 - Indicator 8.5.1 – Average hourly earnings of female and male employees, by occupation, age and persons with disabilities

Data / Parameter	• Quality of employment; • Quantitative employment and income generation; • Local employees; • Equal pay for work of equal value for both men and women.
Unit	• Number of employees (for data on quantitative employment); • Number of local employees; and • Income in USD and VND (for data on income generation). List of employees, functions and respective income (for data on equal pay for work of equal value for both men and women)
Description	The employees of the project are trained on technical aspects relating to the operation of the wind power plant and provided with labour contracts, medical insurance and regular health-check as well as social insurance and unemployment insurance. The employees of the project are paid higher than the average monthly income per capita of the province. Men and women are paid equally for work of equal value.
Source of data	Training records, labour contracts, salary records
Value(s) applied	100% of the employees will be trained on technical aspects relating to the operation of the wind power plant, 100% of the employees will be provided with labour contracts, social and medical insurance. Number of employees: 80 (expected when operating) Average income: 8 million VND per month (expected)
Measurement methods and procedures	Checking documents
Monitoring frequency	Annual
QA/QC procedures	Cross-checking by interviews
Purpose of data	Contribution of the project to provide full and productive employment and decent work for all women and men,

	including for young people and persons with disabilities, and equal pay for work of equal value
Additional comment	N/A

B.7.2. Sampling plan

Not applicable.

B.7.3. Other elements of monitoring plan

The purpose of the monitoring plan is to define the organizational structure of the monitoring team, monitoring practices, QA/QC procedures and archiving procedures. The monitoring plan will ensure that the emission reductions from the project activity are reported accurately and transparently.

A. Monitoring equipment

The metering systems are installed at the 22/110 kV transformer station of No.5 Wind Power Plant. The installed electricity meters are digital bi-direction meters. The metering system includes main systems and back-up systems. The power meter type used is the electronic 3 phases, detailed as follows:

Item	Main meters	Backup meters
Type	Three phases, 4 wires	Three phases, 4 wires
Model	Landis & Gyr	Landis & Gyr
Accuracy	0.2s	0.5s

The electricity metering system is installed according to "Technical design agreement of power metering system, data collection and measurement system of No.5 wind power plant", No.4527/EPTC-KT&CNTT-KDBD dated 30/09/2019 between EVN and the Project Owner in accordance with the electrical metering regulations.

Before the power metering equipment puts into operation, the PO and EVN shall check and accept it. Each terminal block of these equipment is sealed with lead to prevent all the unallowable interferences.

B. Monitoring organization

The Project Owner has established a special group to take charge of data collection, supervision, recording and data storage. The structure of the monitoring group is as follows:

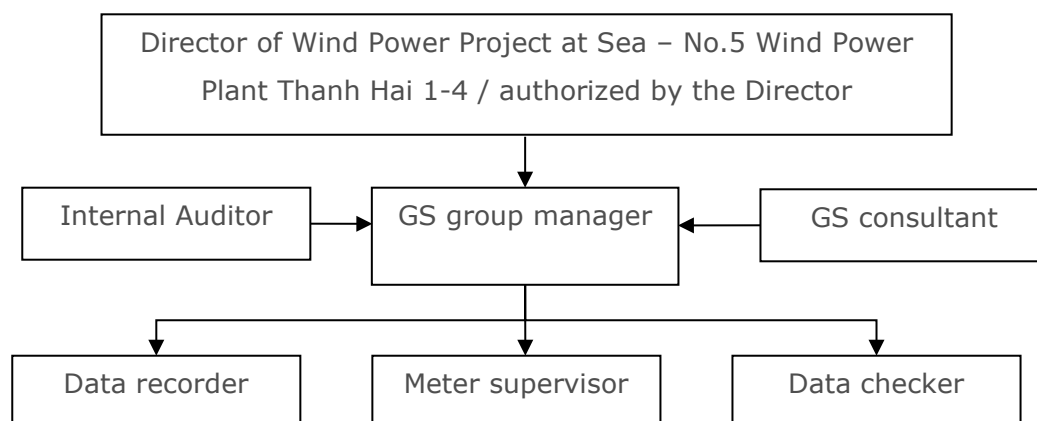


Figure 4 - Structure of the monitoring group

The responsibilities of each person involved are elaborated as follows:

Table 8 - Group members and their responsibilities

Person	Responsibility
Director of Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4/ authorized by the Director	Check and sign the monitoring report annually.
GS group manager	Managing the whole GS business of Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4, guiding and supervising data recorder after being trained by GS consultant.
GS consultant	Providing GS group manager training and technical support about GS monitoring plan.
Internal auditor	Checking the monitoring procedure at least once in a year
Data recorder	Collecting and recording data every month.
Meter supervisor	Checking power meter periodically according to relevant regulation.
Data checker	Double checking the collected data measured by power meter.

The steps of monitoring the electricity supplied to the grid and the electricity imported from grid and consumed by the proposed project are as follows:

- The electricity supplied by the project to the grid and electricity imported from the grid are measured automatically by the bi-directional meter systems (main and backup power meters). The data is measured continuously;
- Persons in charge of data record and meter supervisor from Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 together with staff from EVN shall read and collect data from main power meters on the first day of every month, the result (monthly electricity protocol) will be signed by both parties and kept respectively;
- The data from the backup power meters will be cross checked with the data from main power meter. The data from back-up system will be used in case of failing of the main meter;
- The project owner provides electricity sales invoice to EVN, and keeps the copy of invoices; and
- The power owner provides the electricity protocols and copy of invoices and other related documents to the verifier of VVB.
- All data collected as part of monitoring should be archived electronically and be kept at least for two years after the end of the last crediting period. One hundred per cent of the data should be monitored. All measurements should be conducted with calibrated measurement equipment according to relevant industry standards.

SECTION C. DURATION AND CREDITING PERIOD

C.1. Duration of project

C.1.1. Start date of project

22/07/2019 (the date that the equipment supply contract was signed).

C.1.2. Expected operational lifetime of project

20 years²⁰.

²⁰ Tool10 – Tool to determine the remaining lifetime of equipment, EB50, Annex 15.

C.2. Crediting period of project

C.2.1. Start date of crediting period

29/10/2021²¹ (1st crediting period).

C.2.2. Total length of crediting period

5 years, 0 months.

SECTION D. SUMMARY OF SAFEGUARDING PRINCIPLES AND GENDER SENSITIVE ASSESSMENT

D.1. Safeguarding Principles that will be monitored

Table 9 – Summary of the Safeguarding Principles Assessment that will be monitored

Principles	Mitigation Measures added to the Monitoring Plan
Principle 8.2 Erosion and Water Body Instability	The project owner will conduct plantation in the campus such as powerhouse, transformer station site, coastal area to restore the green cover, create nice scenery and improve the ecosystem as well as the landscape and soil conditions in the area.
Principle 9.5 Hazardous and Non-hazardous Waste	- Hazardous wastes are collected and treated by the third authorized party in accordance with local laws and related regulations; and - Non-hazardous wastes are collected and treated in accordance with local laws and related regulations.
Principle 9.10 High Conservation Value Areas and Critical Habitats/	Number of endangered aquatic and birds are killed or injured when colliding with turbines.

²¹ The commercial operation date of Phase 1 – Thanh Hai 1

Principle 9.11

Endangered

Species

D.2. Assessment that project complies with GS4GG Gender Sensitive requirements

According to the Gender equality requirements guidelines version 1.1, the gender sensitive requirements include three steps: basic context, apply gold standards safeguarding principles, and conduct stakeholder consultation, which are illustrated in the following table.

Table 10 – Gender sensitive assessment

Question 1 - Explain how the project reflects the key issues and requirements of Gender Sensitive design and implementation as outlined in the Gender Policy?	Answer 1 - Yes, it does. The project owner has prepared the project activity to ensure the contribution to generate livelihood benefits and enable women and men to equitably contribute to the local development through the project implementation.
Question 2 - Explain how the project aligns with existing country policies, strategies and best practices	Answer 2 - Yes, it does. The project activity totally complies with the Gender Equality Law approved by the National Assembly in 2006 and the Government's Decree No. 48/2009/ND-CP providing measures to assure gender equality.
Question 3 - Is an Expert required for the Gender Safeguarding Principles & Requirements?	Answer 3 - Yes, it does. The Project Owner has its own internal regulations including their commitments to implement the gender equality. All the GS mandatory requirements of the gender equality and women's right are met properly.

Question 4 - Is an Expert required to assist with Gender issues at the Stakeholder Consultation?	Answer 4 - No, it does not. There are not any Gender Issues raised at the Stakeholder Consultation. All the GS mandatory requirements of the gender equality and women's right are met properly.
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SECTION E. SUMMARY OF LOCAL STAKEHOLDER CONSULTATION

E.1. Summary of stakeholder mitigation measures

During the stakeholder consultation meeting on 29/12/2020, all participants agreed that "the project applies modern technology, reduces global warming, contributes to environmental protection and contributes to sustainable development. Project activity do not cause negative environmental impacts. The project has a positive impact on the local socio-economic development and meets the criteria for sustainable development to develop into a GS4GG project. Project implementation is necessary and consistent with the Government's policy of encouraging the application of low carbon technologies and sustainable development. All participants agreed to support the development of No.5 Wind Power Project under Gold Standard for the Global Goals. The project owner and project participants need to quickly complete the procedures in accordance with the current regulations to successfully register the GS project and complete the project generation according to the committed schedule". The project activity has no negative impacts on the environment and social-economic, so no mitigation measures were added from the stakeholders.

The stakeholder feedback round has been conducted from 29/12/2020 to 28/02/2021. The continuous input/ grievance mechanism was introduced to all participants and has been implemented right after the physical stakeholder meeting. All stakeholders can provide their comments at any time. However, the project participants have not received any further comments from stakeholders for the project activity. For further information, please refer to the Stakeholder Consultation Report of No.5 Wind Power Plant.

E.2. Final continuous input / grievance mechanism

Method	Include all details of Chosen Method (s) so that they may be understood and, where relevant, used by readers.
Continuous Input / Grievance Expression Process Book (mandatory)	Grievance Expression Process Book The process book has been located Thanh Hai People Committee and Wind Power Project at Sea – No.5 Wind Power Plant Thanh Hai 1-4 offices, VNEEC office as the stakeholders’ chosen places. All the sites are appropriate publicly accessible location where local stakeholders can provide their feedback about the project. Project Participant will check the comments in the book on a regular basis, and record responses. They will be respectful to the views of stakeholders and suggest alternative solutions or compromises wherever possible
GS Contact (mandatory)	help@goldstandard.org
Telephone access	Tan Hoan Cau Ben Tre JSC +84 969 995 1595 Energy and Environment Consultancy JSC +84 24 6666 9753 Swiss Carbon Value Ltd. +41 435 013 550 The telephone contact details were explained and discussed at the Meeting, and also provided in the Continuous Input / Grievance Expression Process Book
Internet/ email access	phungquocan6789@gmail.com eec@eec.vn registration@southpolecarbon.com The email address of the company was explained and discussed at the Meeting, and also provided in the Continuous Input / Grievance Expression Process Book

APPENDIX 1 - SAFEGUARDING PRINCIPLES ASSESSMENT

Assessment Questions/ Requirements	Justification of Relevance (Yes/potentially/no)	How Project will achieve Requirements through design, management or risk mitigation.	Mitigation Measures added to the Monitoring Plan (if required)
Principle 1. Human Right			
1. The Project Developer and the Project shall respect internationally proclaimed human rights and shall not be complicit in violence or human rights abuses of any kind as defined in the Universal Declaration of Human Rights 2. The Project shall not discriminate with regards to participation and inclusion	1. Yes 2. Yes	1. Viet Nam ratified "International Convention on the Elimination of all Form of Racial Discrimination" on 09/06/1981; "International Covenant on Civil and Political Rights" and "International Covenant on Economic, Social and Cultural Rights" on 24/09/1982; "Convention on the Elimination of all Forms of Discrimination against Women" on 27/11/1981. Therefore, the project developer	1. N/A 2. N/A

		and the project do respect nationally and internationally proclaimed human rights and shall not be complicit in violence or human rights abuses of any kind 2. Viet Nam ratified "International Convention on the Elimination of all Form of Racial Discrimination" on 09/06/1981; "Convention on the Elimination of all Forms of Discrimination against Women" on 27/11/1981. Therefore, the project will not discriminate with regards to participation and inclusion.	
Principle 2. Gender Equality			
1. The Project shall not directly or indirectly lead to/contribute to adverse impacts on gender	1. Yes 2. Yes 3. Yes	1. The project does not adversely affect men and women in marginalized or vulnerable communities	1. N/A 2. N/A 3. N/A

equality and/or the situation of women 2. Projects shall apply the principles of non-discrimination, equal treatment, and equal pay for equal work 3. The Project shall refer to the country's national gender strategy or equivalent national commitment to aid in assessing gender risks 4. (where required) Summary of opinions and recommendations of an Expert Stakeholder(s)	4. No	because it creates stable jobs and incomes for local men and women. The project does not reduce or put at risk women's access to or control of resources, entitlements and benefits because the project owner comply with the Labor Code (Chapter X – Separate provision for female employees). (Ref. Policy Statement and Labor Code). 2. The project owner complies with regulations of Viet Nam law. Therefore, the project will not discriminate with regards to participation. The employees of the project are paid higher than the average monthly income per capita of the province. Men and women are paid equally for work of equal value.	4. N/A
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		3. The project does not have any scope to apply gender strategy. Although the project positively contributes towards the national mission for empowerment of women through improvement of health and attaining vision for empowerment of women under Law on Gender Equality 2006 4. N/A	
Principle 3. Community Health, Safety and Working Conditions			
1. The Project shall avoid community exposure to increased health risks and shall not adversely affect the health of the workers and the community	1. Yes	1. The project leads to safe working condition and improvement in health as it will replace coal as fuel with wind which is clean and safe. Further, periodic maintenance by implementing agency ensure prevention of any unsafe working condition.	1. N/A
Principle 4.1 Sites of Cultural and Historical Heritage			

Does the Project Area include sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture?	No	This is an off-shore wind power plant. The project site is located in mostly unused land along the sea without any cultural or historical heritage.	N/A
Principle 4.2 Forced Eviction and Displacement			
Does the Project require or cause the physical or economic relocation of peoples (temporary or permanent, full or partial)?	No	This is an off-shore wind power plant. Most of land area occupied by the project activity is unused land along the sea for the construction of management house and transformer station. Therefore, the project does not require or cause the physical or economic relocation of local people.	N/A
Principle 4.3 Land Tenure and Other Rights			

Does the Project require any change, or have any uncertainties related to land tenure arrangements and/or access rights, usage rights or land ownership?	No	The compensation plan and compensation expenditure were validated and approved by the Provincial People's Committee. Therefore, there are no uncertainties related to land tenure arrangements and/or access rights, usage rights or land ownership. The compensation process has been completed. The project owner has signed the Land Lease Contract with Ben Tre PPC and also received the Land Use Licences issued by Ben Tre PPC.	N/A
Principle 5. Corruption			
1. The Project shall not involve, be complicit in or inadvertently contribute to or reinforce corruption or corrupt Projects	Yes	There is no corruption provision in the project activity.	N/A
Principle 6.1 Labour Rights			
1. The Project Developer shall ensure that all	1. Yes 2. Yes	1. The project does not require labour force for	1. N/A 2. N/A

employment is in compliance with national labour occupational health and safety laws and with the principles and standards embodied in the ILO fundamental conventions 2. Workers shall be able to establish and join labour organisations 3. Working agreements with all individual workers shall be documented and implemented and include: a) Working hours (must not exceed 48 hours per week on a regular basis), AND b) Duties and tasks, AND c) Remuneration (must include provision for payment of overtime), AND d) Modalities on health insurance, AND e) Modalities on termination of the	3. Yes 4. Yes 5. Yes	implementation of the project. Trained technicians are involved in construction and operation and maintenance of plants. Therefore, no forced labour is involved in the project. No child labour is involved. 2. Workers should have the right to establish and join the organization that they consider necessary in a climate of complete security based on Decree No. 58/2014/ND-CP. 3. The project owner follows regulations of Labour Code of Viet Nam. Thus, they always ensure the participation of women and men in project activities and benefits. The employees of the project are trained on technical aspects relating to the operation of the wind power plant	3. N/A 4. N/A 5. N/A
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contract with provision for voluntary resignation by employee, AND f) Provision for annual leave of not less than 10 days per year, not including sick and casual leave. 4. No child labour is allowed (Exceptions for children working on their families' property requires an Expert Stakeholder opinion) 5. The Project Developer shall ensure the use of appropriate equipment, training of workers, documentation and reporting of accidents and incidents, and emergency preparedness and response measures		and provided with labour contracts, medical insurance and regular health-check as well as social insurance and unemployment insurance. (Ref. Labour Code). 4. The project does not require labour force for implementation of the project. Trained technicians are involved in construction and operation and maintenance of plants. Therefore, no child labour is involved. 5. The project does not require labour force for implementation of the project. Trained technicians are involved in construction and operation and maintenance of plants.	
Principle 6.2 Negative Economic Consequences			

1. Does the project cause negative economic consequences during and after project implementation?	1. No	1. The project does not cause negative economic consequences during implementation process of the project.	1. N/A
Principle 7.1 Emissions			
Will the Project increase greenhouse gas emissions over the Baseline Scenario?	No	The Project will reduce the emission of 307,540 tCO ₂ e/year compared to the Baseline Scenario as it replaces electricity generated from fossil fuel fired power plants with zero emissions electricity from the wind power plant. <i>Ref. GS-PDD, pages 2</i>	N/A
Principle 7.2 Energy Supply			
Will the Project use energy from a local grid or power supply (i.e., not connected to a national or regional grid) or fuel resource (such as wood, biomass) that provides for other local users?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. It does not use energy from a local grid or power supply or fuel resource that provides for other local users. The project activity may import electricity from the national grid in case the plant	N/A

		is temporarily shut down. The imported electricity from the national grid ($EG_{y,import}$) will be monitored and deducted from total electricity exported to the national grid ($EG_{y,export}$), only net electricity supplied to the national grid ($EG_{PJ,grid,y}/EG_{facility,y} = EG_{y,export} - EG_{y,import}$) is used to calculate emission reductions. <i>Ref. GS-PDD, Section B.7.1</i>	
Principle 8.1 Impact on Natural Water Patterns/Flows			
Will the Project affect the natural or pre-existing pattern of watercourses, ground-water and/or the watershed(s) such as high seasonal flow variability, flooding potential, lack of aquatic connectivity or water scarcity?	No	The proposed project is located on the vacant land, where no population and crop lands from turbines to the powerhouse. It is concluded that the Project will not affect the natural or pre-existing pattern of watercourses, ground-water and/or the watershed(s).	N/A
Principle 8.2 Erosion and/or Water Body Instability			

Could the Project directly or indirectly cause additional erosion and/or water body instability or disrupt the natural pattern of erosion?	Potentially	This is an off-shore wind power plant. Therefore, impacts on erosion and/or water body instability or disrupt the natural pattern of erosion are very limited. During the construction period, topsoil erosion may occur due to the excavation activities. However, proper mitigation measures will be applied including: • Embanks taluses to prevent from soil erosion and landslides. • Minimize the vegetable clearance in the project site and surrounding areas. • Conduct replantation in the temporarily occupied areas and strengthen the slopes to avoid landslide and erosions, after accomplishing the construction of main works During the operational period, there are no excavation activities and the vegetation	The following mitigation measures will be conducted by the PPs: • Embanks taluses to prevent from soil erosion and landslides. • Minimize the vegetable clearance in the project site and surrounding areas. • Conduct plantation in the campus such as powerhouse, transformer station site, coastal area to restore the green cover, create nice scenery and improve the ecosystem as well as the landscape and soil conditions in the area.
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		cover will be restored. Therefore, the soil erosion will be monitored and controlled.	
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Principle 9.1 Landscape Modification and Soil			
Does the Project involve the use of land and soil for production of crops or other products?	No	The project activity is to generate electricity from wind. It does not involve the use of land and soil for production of crops or other products. Before the operation, the project site is located partly on agricultural land. All the households who cultivated on the land had been compensated satisfactorily	N/A
Principle 9.2 Vulnerability to Natural Disaster			
Will the Project be susceptible to or lead to increased vulnerability to wind, earthquakes, subsidence, landslides, erosion, flooding, drought or other extreme climatic conditions?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore the Project is not susceptible to and does not lead to increased vulnerability to wind, earthquakes, subsidence, landslides, erosion, flooding, drought or other extreme climatic conditions.	N/A
Principle 9.3 Genetic Resources			

Could the Project be negatively impacted by or involve genetically modified organisms or GMOs (e.g., contamination, collection and/or harvesting, commercial development, or take place in facilities or farms that include GMOs in their processes and production)?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore, the Project is not negatively impacted by the use of GMOs.	N/A
Principle 9.4 Release of pollutants			
Could the Project potentially result in the release of pollutants to the environment?	No	Construction phase: The project is a wind power project, so the impact on air quality is only temporary during construction period and not significant. Besides, the Project owner has applied the following measures: - Spraying water along the construction roads and construction site to mitigate dust dispersion. - All means/vehicles for transport of construction materials must be covered in order to minimize dust dispersion.	N/A

		- Trees will be planted to create a dust barrier. - Don't use very old machines to transport materials and construct. All transport equipment/vehicles and machines must have operational certifications issued by the Directorate for standards and Quality to reduce noise and waste gas during their operation. Operation phase: The proposed project operates using wind energy and does not use fossil fuels. Therefore, there is no gas pollutants emitted into the atmosphere during the operation.	
Principle 9.5 Hazardous and Non-hazardous Waste			

Will the Project involve the manufacture, trade, release, and/ or use of hazardous and non-hazardous chemicals and/or materials?	Potentially	This is an off-shore wind power plant. The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore, the release and impacts of hazardous and non-hazardous waste are very limited. Hazardous waste including lubricant, grease, light bulb, accumulator, etc. may release during the maintenance process of equipment; and Non-hazardous waste including domestic solid waste and domestic waste water are generated from worker's activities.	The following mitigation measures will be applied to the project activity. • Hazardous wastes are collected and treated by the third authorized party in accordance with local laws and related regulations; and • Non-hazardous wastes are collected and treated in accordance with local laws and related regulations.
Principle 9.6 Pesticides & Fertilisers			
Will the Project involve the application of pesticides and/or fertilisers?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore the Project does not involve the application of pesticides and/or fertilizers.	N/A
Principle 9.7 Harvesting of Forests			

Will the Project involve the harvesting of forests?	No	Most of land area occupied by the project activity is unused land along the sea, there is limited species settled prior to the project activity. Therefore, the project does not involve the harvesting of forests.	N/A
Principle 9.8 Food			
Does the Project modify the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore the Project does not modify the quantity or nutritional quality of food available such as through crop regime alteration or export or economic incentives.	N/A
Principle 9.9 Animal husbandry			
Will the Project involve animal husbandry?	No	The Project's purpose is to supply clean energy from the wind power plant to the national grid. Therefore the Project does not involve animal husbandry.	N/A

Principle 9.10 High Conservation Value Areas and Critical Habitats			
Does the Project physically affect or alter largely intact or High Conservation Value (HCV) ecosystems, critical habitats, landscapes, key biodiversity areas or sites identified?	No	The project is not located in high conservation value areas. Therefore, the project activity does not affect or alter ecosystems, critical habitats, landscapes, key biodiversity areas or sites identified. According to the approved EIAR, the project activity is an off-shore wind power plant, 28 wind turbines are located on the sea about 3 km far from the mainland, each turbine pillar occupies about 350 m² of sea water surface. However, the impact of sea surface occupation to aquatic life or lack of aquatic connectivity is not significant and can be neglected. There is no precious bird listed in the Red Book at the project area and the project area is not on the migration path of birds therefore the effect on birds by the project activity is negligible. However, proper mitigation measures are applied to the project activity	N/A

		including: painting over turbine with white colour and installation of warning lights; and monitoring the number of birds that are injured or killed by collisions with turbines has been included in the project activity.	
Principle 9.11 Endangered Species			
Are there any endangered species identified as potentially being present within the Project boundary (including those that may route through the area)? AND/OR Does the Project potentially impact other areas where endangered species may be present through transboundary affects?	1. No 2. No	1. The physical location of the project is described in Section A.2. above. According to the approved EIA report, there are no endangered species identified as potentially being present the project boundary. 2. There is no any nature reserve nearby the project site so the project activity is not expected either potentially impact other areas where endangered species may be present through transboundary affects. However, monitoring the number of endangered aquatics that are injured or killed by	1. N/A 2. N/A

		collisions with turbine foundations has been included in the project activity.	
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APPENDIX 2- CONTACT INFORMATION OF PROJECT PARTICIPANTS

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APPENDIX 3- LUF ADDITIONAL INFORMATION

Not Applicable.

APPENDIX 4-SUMMARY OF APPROVED DESIGN CHANGES

Not Applicable.

Revision History

Version	Date	Remarks
1.2	14 October 2020	Hyperlinked section summary to enable quick access to key sections Improved clarity on Key Project Information Inclusion criteria table added Gender sensitive requirements added Prior consideration (1 yr rule) and Ongoing Financial Need added Safeguard Principles Assessment as annex and a new section to include applicable safeguards for clarity Improved Clarity on SDG contribution/SDG Impact term used throughout Clarity on Stakeholder Consultation information required Provision of an accompanying Guide to help the user understand detailed rules and requirements
1.1	24 August 2017	Updated to include section A.8 on 'gender sensitive' requirements
1.0	10 July 2017	Initial adoption